## North Carolina Sentencing and Policy Advisory Commission

# Structured Sentencing Statistical Report for Felonies and Misdemeanors

### Fiscal Year 2010/11 (July 1, 2010 – June 30, 2011)

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North Carolina Sentencing and Policy Advisory Commission

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### **INTRODUCTION**

#### **INTRODUCTION**

This report provides detailed information about felony and misdemeanor convictions under Structured Sentencing during Fiscal Year 2010/11 (July 1, 2010 through June 30, 2011). This report only includes cases where an offender was convicted and sentenced for a crime which was committed on or after October 1, 1994 – the effective date of Structured Sentencing. The report presents information on the number of felony and misdemeanor convictions, the distribution of felony and misdemeanor convictions within their respective punishment charts, and the types of punishments imposed, as well as data about several other issues. Information for felony convictions is presented in *Section II*. Information regarding the substance and application of the sentencing laws under Structured Sentencing is provided in the North Carolina Sentencing and Policy Advisory Commission's *Structured Sentencing Training and Reference Manual*.

The information in this report reflects the laws and practices that were in place during FY 2010/11. During the 2009 Session of the General Assembly, changes to the minimum sentence lengths and prior record point distributions in the felony punishment chart were passed. Session Law 2009-556 (SB 488/Establish Proportionate Sentence Lengths) changes the felony punishment chart to make the increase in sentence lengths between prior record levels more proportionate using set percentage (15%) increments. The sentence lengths in Prior Record Level I in each offense class remain unchanged, serving as an anchor, while the sentence lengths between each subsequent prior record level are increased by 15% in all classes except Classes H and I, which also remain unchanged. Session Law 2009-555 (SB 489/Even Out Prior Criminal Record Points) changes the felony punishment chart by restructuring the prior record level point ranges in order to expand the points in Prior Record Level I and to even out the remaining ranges. Prior Record Level I is expanded to include up to one prior record point. Prior Record Levels II through V are changed to cover four points each. The new felony punishment chart that results from these changes is effective for offenses committed on or after December 1, 2009. Because this report covers convictions from July 1, 2010 through June 30, 2011, some convictions covered here fall under the previous felony punishment chart, and some fall under the new ranges (33% and 67%, respectively). As a result, the statistical tables do not include the number of prior record points associated with each Prior Record Level. The prior record points and punishment limit changes made effective on December 1, 2009, are contained in the felony punishment chart on the outside back cover of the report. The previous felony punishment chart (for offenses committed on or after 12/1/1995 but before 12/1/2009) is on the inside back cover of this report.

#### **REPORTING OF CONVICTIONS AND SENTENCES**

The information presented in this statistical summary is based on data entered into the Administrative Office of the Courts' (AOC's) Automated Criminal Infraction System (ACIS) by the court clerk following the imposition of the sentence. The report covers all North Carolina counties.

The unit of analysis for this report is convictions disposed of in a *sentencing episode*.

#### **Definition of a Sentencing Episode:**

A *sentencing episode* is identified from court records as the sentence imposed for the *most serious conviction* on a given day of court. While a sentencing episode involves one offender, in our reporting time frame an offender may be represented by more than one sentencing episode (meaning that within the fiscal year the number of offenders will be the same as or less than the number of sentencing episodes reported). For the sake of simplicity, throughout the report the unit of analysis is referred to as "conviction."

### **SUMMARY OF FINDINGS**

### FELONY AND MISDEMEANOR CONVICTIONS IN FISCAL YEAR 2010/11

#### STRUCTURED SENTENCING STATISTICAL REPORT SUMMARY OF FINDINGS FISCAL YEAR 2010/11 CONVICTIONS

#### **Felony Convictions**

- During Fiscal Year 2010/11, sentences for 29,446 felony convictions were imposed under Structured Sentencing. (Note: This number excludes sentences imposed for drug trafficking and violent habitual felon convictions, which are subject to mandatory penalties.)
- Thirteen percent of felony convictions fell into Offense Classes A-E, 8% fell into Offense Class F, 13% fell into Offense Class G, 43% fell into Offense Class H, and 23% fell into Offense Class I.
- The majority of felony convictions (60%) were for offenders with Prior Record Levels I and II. Eleven percent of convictions were for offenders with serious prior criminal histories (Prior Record Levels V and VI).
- Eighty-five percent of convictions were for male offenders; 38% of convictions were for offenders under the age of 26; and 52% of convictions were for Black offenders.
- Forty percent of felony convictions resulted in an Active punishment, 43% resulted in an Intermediate punishment, and 17% resulted in a Community punishment.
- Of the four crime types, property offenses (38%) and non-trafficking drug offenses (31%) accounted for the largest groups of felony convictions.
- Sixty-eight percent of all Active sentences fell within the presumptive range; 27% fell within the mitigated range; and 5% fell within the aggravated range.
- Where a specific intermediate sanction was indicated in the AOC database, Intensive Supervision Probation was the most frequently invoked punishment (53%). Offenders who received an Intermediate punishment were most frequently convicted of a Class H offense.
- Of all felony offenders who received a Community punishment, 97% received supervised probation; 3% received unsupervised probation; and less than1% were ordered to perform community service, pay restitution and/or a fine as their most serious punishment.
- Other information of interest includes: 3 death sentences and 87 life without parole sentences were imposed; there was 1 violent habitual felon conviction; there were 750 habitual felon convictions; there were 687 convictions for drug trafficking offenses; performance of community service was ordered for 13% of all felony convictions; and payment of restitution was ordered for 29% of all felony convictions.

#### **Misdemeanor Convictions**

- During Fiscal Year 2010/11, sentences for 150,810 misdemeanor convictions were imposed under Structured Sentencing. (Note: This number excludes Driving While Impaired convictions, cases disposed of by magistrates, Class 2 and Class 3 criminal traffic offenses, and local ordinance offenses.)
- Nine percent of misdemeanor convictions fell into Offense Class A1, 58% fell into Offense Class 1, 16% fell into Offense Class 2, and 17% fell into Offense Class 3.
- Thirty-seven percent of misdemeanor convictions fell into Prior Conviction Level I (0 points), 39% fell into Prior Conviction Level II (1-4 points), and 24% fell into Prior Conviction Level III (5 or more points).
- Seventy-five percent of convictions were for male offenders; 34% of convictions were for offenders under the age of 26; and 47% of convictions were for Black offenders.
- Twenty-seven percent of misdemeanor convictions resulted in an Active punishment, 2% resulted in an Intermediate punishment, and 71% resulted in a Community punishment.
- Public order offenses accounted for the majority of misdemeanor convictions (47%), while misdemeanor person offenses accounted for the lowest proportion (11%). Convictions for person offenses were most likely to result in an Active punishment (31%). Person offenses resulted in the longest average Active sentences.
- Of all misdemeanor offenders who received a Community punishment, 46% received unsupervised probation; 36% received supervised probation; and 10% were ordered to perform community service, pay restitution and/or a fine as their most serious punishment. The remainder had no sanction specified.

### **SECTION I**

# FELONY CONVICTIONS IN FISCAL YEAR 2010/11

#### I. FELONY CONVICTIONS IN FY 2010/11

#### A. Definition of the Unit of Analysis

The unit of analysis for this report is convictions disposed of in a sentencing episode.

#### **Definition of a Sentencing Episode:**

A *sentencing episode* is identified from court records as the sentence imposed for the *most serious conviction* on a given day of court. While a sentencing episode involves one offender, in our reporting time frame an offender may be represented by more than one sentencing episode (meaning that within the fiscal year the number of offenders will be the same as or less than the number of sentencing episodes reported). For the sake of simplicity, throughout the report the unit of analysis is referred to as "conviction."

#### **B.** Felony Convictions

This section contains information on felony convictions and sentences imposed under Structured Sentencing during Fiscal Year 2010/11. Overall, sentences for 29,446 felony convictions were imposed. (This number excludes sentences imposed for drug trafficking and violent habitual felon convictions, which are subject to mandatory penalties. Information regarding convictions for these offenses is summarized in *Section VII: Special Issues.*) For many of the tables, information on 2,032 convictions (or almost 7%) were excluded due to data discrepancies. Such exclusions are noted at the end of each table. Efforts are ongoing to understand the nature of the data discrepancies and to resolve reporting problems.

The information in this report reflects the laws and practices that were in place during FY 2010/11. As discussed in the Introduction, several changes to the felony punishment chart and prior record points became effective for offenses committed on or after December 1, 2009. The changes to the minimum sentence lengths and prior record point distributions that are effective for offenses committed on or after December 1, 2009 are contained in the felony punishment chart on the outside back cover of the report. The previous felony punishment chart (for offenses committed on or after 12/1/1995 but before 12/1/2009) is on the inside back cover of this report.

#### C. Distribution of Convictions within the Felony Punishment Chart

*Table 1* shows the distribution of felony convictions within the Felony Punishment Chart. Overall, the greatest number of convictions fell into Offense Class H (n=11,757 or 43%) and into Prior Record Level II (n=8,378 or 31%). Relative to other cells in the Felony Punishment Chart, the greatest number of convictions fell into Offense Class H with Prior Record Level I (n=3,507 or 13%). Sentences imposed for Offense Classes A through E accounted for 13% of felony convictions during FY 2010/11; 66% of sentences imposed were for Class H and Class I felony convictions.

Offense	Prior Record Level						
Class	Ι	п	III	IV	V	VI	Total
Α	44	18	13	12	0	3	90
	(0.2%)	(0.1%)	(0.0%)	(0.0%)	(0.0%)	(0.0%)	(0.3%)
B1	65	37	14	8	1	3	128
	(0.2%)	(0.1%)	(0.1%)	(0.1%)	(0.0%)	(0.0%)	(0.5%)
B2	84	94	47	24	4	4	257
	(0.3%)	(0.3%)	(0.2%)	(0.1%)	(0.0%)	(0.0%)	(0.9%)
С	139	174	191	244	143	140	1,031
	(0.5%)	(0.7%)	(0.7%)	(0.9%)	(0.5%)	(0.5%)	(3.8%)
D	272	218	127	71	26	32	746
	(1.0%)	(0.8%)	(0.5%)	(0.2%)	(0.1%)	(0.1%)	(2.7%)
E	598	432	141	101	37	36	1,345
	(2.2%)	(1.6%)	(0.5%)	(0.3%)	(0.1%)	(0.1%)	(4.9%)
F	774	648	386	202	105	71	2,186
	(2.8%)	(2.4%)	(1.4%)	(0.7%)	(0.4%)	(0.3%)	(8.0%)
G	774	1,128	805	459	143	134	3,443
	(2.8%)	(4.1%)	(2.9%)	(1.7%)	(0.5%)	(0.5%)	(12.5%)
Н	3,507	3,381	2,167	1,368	722	612	11,757
	(12.8%)	(12.4%)	(7.9%)	(5.0%)	(2.6%)	(2.2%)	(42.9%)
I	1,771	2,248	983	748	311	370	6,431
	(6.5%)	(8.2%)	(3.6%)	(2.7%)	(1.1%)	(1.4%)	(23.5%)
TOTAL	8,028	8,378	4,874	3,237	1,492	1,405	27,414
	(29.3%)	(30.6%)	(17.8%)	(11.8%)	(5.4%)	(5.1%)	(100.0%)

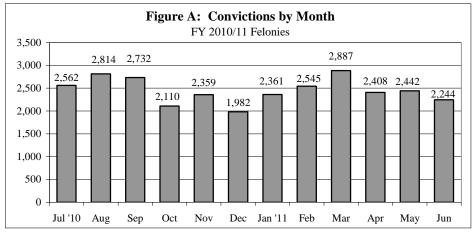
Table 1: Distribution of Convictions within the Felony Punishment ChartFY 2010/11 Felonies

Note: Of the 29,446 felony convictions in FY 2010/11, 2,032 convictions were excluded from this table. These convictions did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation). Percentages may not add to totals due to rounding.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### D. Convictions by Month of Sentencing

*Figure A* shows the total number of felony convictions by month of sentencing during Fiscal Year 2010/11 (N=29,446). Convictions peaked in March and were lowest in December.

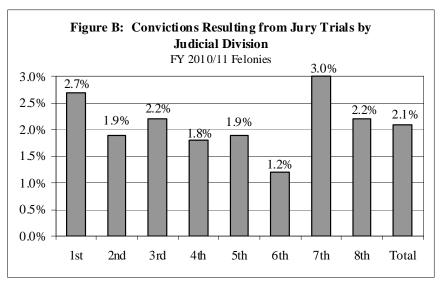


Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### E. Convictions by Judicial Division, District, and County

*Table 2* displays the total number of convictions by judicial division, judicial district, and county. (See *Appendix A* for a map of judicial divisions and districts.) The distribution of convictions by

judicial division ranged from a low of 7% in the eighth judicial division to a high of 17% in the fifth judicial division. This table also indicates whether the conviction resulted from a guilty plea or jury trial. Overall, 2.1% of convictions statewide resulted from jury trials (see Figure B). The percentage of jury trials varied slightly by judicial division with a low of 1.2% in the sixth division and a high of 3.0% in the seventh division.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

		Mode of Disposition			
Judicial Division,	Judicial District, and County	<b>Guilty Plea</b>	Jury Trial	Total	
First Judicial Divis	ion	2,503	70	2,573	
District 1	Camden	11	0	11	
	Chowan	48	0	48	
	Currituck	67	0	67	
	Dare	108	3	111	
	Gates	9	0	9	
	Pasquotank	90	4	94	
	Perquimans	25	2	27	
	Total	358	9	367	
District 2	Beaufort	284	3	287	
	Hyde	21	0	21	
	Martin	98	7	105	
	Tyrrell	21	0	21	
	Washington	53	1	54	
	Total	477	11	488	
District 3A	Pitt	582	14	596	
	Total	582	14	596	
District 6A	Halifax	213	4	217	
	Total	213	4	217	
District 6B	Bertie	60	0	60	
	Hertford	91	1	92	
	Northampton	51	2	53	
	Total	202	3	205	
District 7A	Nash	238	14	252	
	Total	238	14	252	
District 7B, C	Edgecombe	211	10	221	
	Wilson	222	5	227	
	Total	433	15	448	
Second Judicial Division		3,299	65	3,364	
District 3B	Carteret	191	5	196	
	Craven	368	6	374	
	Pamlico	29	0	29	
	Total	588	11	599	
District 4A	Duplin	275	0	275	
	Jones	35	0	35	
	Sampson	261	9	270	
	Total	571	9	580	

			Mode of l		
Judicial Division	Judicial Division, Judicial District, and Count			Jury Trial	Total
District 4B	Onslow		436	17	453
		Total	436	17	453
District 5	New Hanover		868	10	878
	Pender		179	2	181
		Total	1,047	12	1,059
District 8A	Greene		76	2	78
	Lenoir		251	3	254
		Total	327	5	332
District 8B	Wayne		330	11	341
		Total	330	11	341
Third Judicial Div	ision		4,487	100	4,587
District 9	Franklin		139	2	141
	Granville		137	2	139
	Vance		155	3	158
	Warren		43	0	43
		Total	474	7	481
District 9A	Caswell		51	2	53
	Person		87	4	91
		Total	138	6	144
District 10	Wake		2,236	47	2,283
		Total	2,236	47	2,283
District 14	Durham		798	24	822
		Total	798	24	822
District 15A	Alamance		524	11	535
		Total	524	11	535
District 15B	Chatham		100	1	101
	Orange		217	4	221
		Total	317	5	322
Fourth Judicial Division		3,593	65	3,658	
District 11A	Harnett		336	5	341
	Lee		195	1	196
		Total	531	6	537
District 11B	Johnston		542	11	553
		Total	542	11	553
District 12	Cumberland		1,052	17	1,069
		Total	1,052	17	1,069

Indiated Division	Indiaial District and Country	Mode of 1	Mode of Disposition		
JUDICIAI DIVISION	, Judicial District, and County	<b>Guilty Plea</b>	Jury Trial	Total	
District 13A	Bladen	106	0	106	
	Columbus	170	6	176	
	Total	276	6	282	
District 13B	Brunswick	409	4	413	
	Total	409	4	413	
District 16A	Hoke	176	3	179	
	Scotland	184	1	185	
	Total	360	4	364	
District 16B	Robeson	423	17	440	
	Total	423	17	440	
Fifth Judicial Divi	sion	4,886	95	4,981	
District 17A	Rockingham	381	11	392	
	Total	381	11	392	
District 17B	Stokes	106	1	107	
	Surry	220	0	220	
	Total	326	1	327	
District 18	Guilford	1,897	36	1,933	
	Total	1,897	36	1,933	
District 19B	Montgomery	55	1	56	
	Randolph	293	4	297	
	Total	348	5	353	
District 19D	Moore	360	5	365	
	Total	360	5	365	
District 21	Forsyth	1,024	31	1,055	
	Total	1,024	31	1,055	
District 23	Alleghany	25	2	27	
	Ashe	93	1	94	
	Wilkes	329	2	331	
	Yadkin	103	1	104	
	Total	550	6	556	
Sixth Judicial Divi	sion	3,169	39	3,208	
District 19A	Cabarrus	608	14	622	
	Total	608	14	622	
District 19C	Rowan	560	6	566	
	Total	560	6	566	

Judicial Division, Judicial District, and County			Mode of I	T-4-1	
Judicial Division,	, Judicial District, and Cou	nty	<b>Guilty Plea</b>	Jury Trial	Total
District 20A	Anson		108	2	110
	Richmond		224	1	225
	Stanly		215	2	217
	1	otal	547	5	552
District 20B	Union		301	6	307
	1	Total	301	6	307
District 22A	Alexander		75	1	76
	Iredell		509	4	513
	1	otal	584	5	589
District 22B	Davidson		465	3	468
	Davie		104	0	104
	1	otal	569	3	572
Seventh Judicial D	ivision		4,741	147	4,888
District 25A	Burke		281	1	282
	Caldwell		180	2	182
	1	otal	461	3	464
District 25B	Catawba		330	18	348
	1	otal	330	18	348
District 26	Mecklenburg		2,437	75	2,512
	1	otal	2,437	75	2,512
District 27A	Gaston		767	16	783
	1	otal	767	16	783
District 27B	Cleveland		476	21	497
	Lincoln		270	14	284
	1	Total	746	35	781
Eighth Judicial Div	vision		2,140	47	2,187
District 24	Avery		72	1	73
	Madison		79	0	79
	Mitchell		71	0	71
	Watauga		119	3	122
	Yancey		48	1	49
	1	otal	389	5	394
District 28	Buncombe		648	14	662
	1	otal	648	14	662
District 29A	McDowell		152	3	155
	Rutherford		268	2	270
	1	Total	420	5	425

Indicial Division In	dicial District, and County	Mode of I	Mode of Disposition				
Judicial Division, Ju	uiciai District, and County	<b>Guilty Plea</b>	Jury Trial	Total			
District 29B	Henderson	242	7	249			
	Polk	19	1	20			
	Transylvania	80	3	83			
	Total	341	11	352			
District 30A	Cherokee	56	0	56			
	Clay	13	0	13			
	Graham	18	0	18			
	Macon	57	1	58			
	Swain	19	3	22			
	Total	163	4	167			
District 30B	Haywood	118	5	123			
	Jackson	61	3	64			
	Total	179	8	187			
STATE TOTAL		28,818	628	29,446			

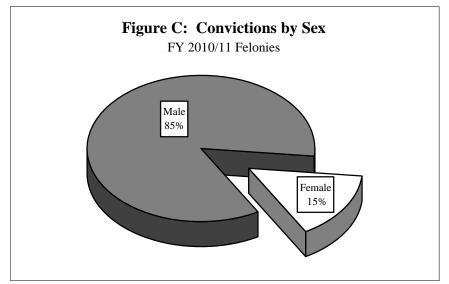
Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### F. Felony Pleas in District Court

Under G.S. 15A-1029.1(a), the District Court is authorized to accept a defendant's plea of guilty (or no contest) to a Class H or I felony. With the consent of both parties, the Superior Court may transfer a Class H or I felony to District Court for entry of the defendant's plea. In Fiscal Year 2010/11, 2,939 Class H (or 23% of Class H convictions) and 1,781 Class I (or 24% of Class I convictions) felony pleas were accepted in District Court for a total of 4,720 pleas (24% of all Class H and I felony convictions).

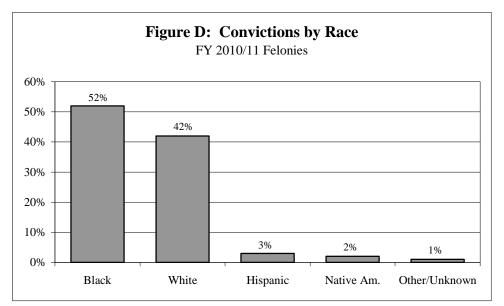
#### **II. CHARACTERISTICS OF OFFENDERS**

This section provides a breakdown of convictions by offenders' sex, race, and age. Of the 29,446 felony convictions, 85% were for males (see *Figure C*).



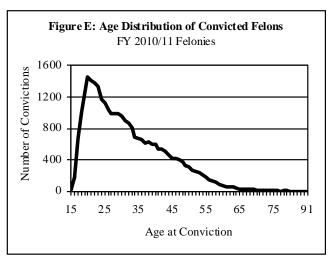
Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

*Figure D* shows that 52% of all felony offenders were Black, 42% were White, and a small percentage were Hispanic (3%), Native American (2%), or Other/Unknown (1%).



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Based on age at conviction, 15% of felony convictions were for offenders under the age of 21, 22% were 21-25 years of age, 14% were 26-29 years of age, 25% were 30-39 years of age, 16% were 40-49 years of age, and 8% were 50 years of age or older. The average age at conviction was 31 years. *Figure E* illustrates that the volume of offenders peaks in the late teens and early twenties, and then generally declines as age increases. *Table 3* provides a summary of convictions by sex, race/ethnicity, and age of offenders.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Sex and I	Sex and Race/Ethnicity		21-25	26-29	30-39	40-49	>49	Total
	White	1,257	1,983	1,341	2,483	1,675	909	9,648
	Black	2,663	3,246	1,797	3,132	1,842	1,043	13,723
Male	Hispanic	221	246	154	238	103	31	993
wrate	Native Amer.	62	83	56	118	59	22	400
	Other	50	55	38	47	20	11	221
	Subtotal	4,253	5,613	3,386	6,018	3,699	2,016	24,985
	White	151	481	387	842	533	216	2,610
	Black	163	296	195	447	319	137	1,557
Female	Hispanic	6	13	19	22	14	1	75
remate	Native Amer.	3	16	12	22	10	2	65
	Other	4	7	5	9	5	2	32
Subtot		327	813	618	1,342	881	358	4,339
Т	OTAL	4,580	6,426	4,004	7,360	4,580	2,374	29,324

Table 3: Convictions by Sex, Race/Ethnicity, and AgeFY 2010/11 Felonies

Note: Of the 29,446 felony convictions in FY 2010/11, 122 convictions with missing values for offenders' age were excluded from this table.

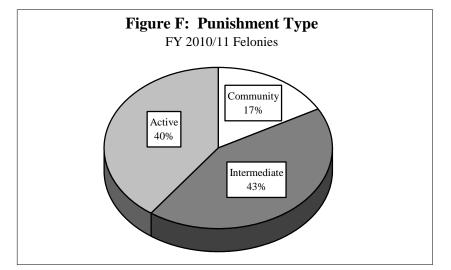
Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **III. PUNISHMENT IMPOSED FOR FELONIES**

This section details the type of sentence imposed (Active, Intermediate, or Community) for convictions during Fiscal Year 2010/11. The tables in this section also show the average minimum and maximum sentences for convictions for which an Active punishment was imposed. *The reader should note that this information is based on the most serious sentence an offender received.*<sup>1</sup>

#### A. Overall Punishment

An Active punishment was imposed for 40% of felony convictions, an Intermediate punishment was imposed for 43% of felony convictions, and a Community punishment was imposed for 17% of felony convictions (see *Figure F*).

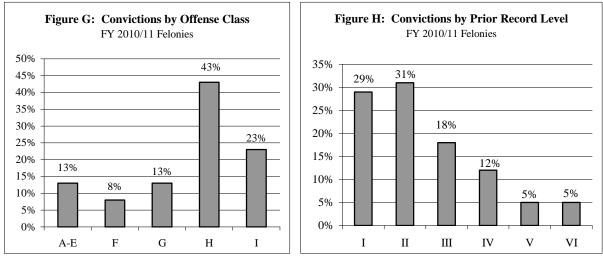


Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **B.** Punishment Imposed by Offense Class and Prior Record Level

*Figure G* shows the percentage of convictions by offense class. The majority of felony convictions (66%) were for Class H and Class I offenses. (See *Appendix B* for sample offenses in each offense class.) As shown in *Figure H*, the majority of felony convictions (60%) were for offenders with Prior Record Levels I and II. Ten percent of convictions were for offenders with serious prior criminal histories (Prior Record Levels V and VI).

<sup>&</sup>lt;sup>1</sup> Convictions that did not fit within the Felony Punishment Chart were excluded from this section (n=2,032 or almost 7%). These convictions did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation).



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

*Table 4* presents felony convictions and sentences imposed within the context of the Felony Punishment Chart (see also *Table 1*). The table also includes the average minimum and maximum sentences for convictions for which an Active sentence was imposed.<sup>2</sup> The greatest number of convictions fell within Offense Class H with Prior Record Level I (n=3,507 or 13%).

The data presented in *Table 4* indicate that the percentage of offenders receiving an Active sentence generally increases as the offense seriousness increases, with the exception of Class E. As shown in the vertical "Total" column in *Table 4*, the average minimum and maximum sentences imposed increase as offense class increases. The average minimum sentence for all convictions resulting in an Active punishment (n=10,872) was 30 months. The lowest average minimum sentence was imposed for Class I convictions (7 months). Excluding life and death sentences, the highest average minimum sentence was imposed for Class I convictions (230 months).

Convictions for offenders falling into Prior Record Levels I and VI resulted in the highest average minimum sentences (34 months and 32 months respectively). The higher minimum sentences for Prior Record Level I can be attributed to the fact that the majority of offenders eligible for an Active sentence in these prior record levels are those convicted in the more serious offense classes (*i.e.*, Class B1 through E felonies). For information on punishment imposed by judicial division, see *Appendix C*.

 $<sup>^2</sup>$  Sentences resulting in life without parole or death were excluded from the calculation of the average minimum and maximum sentences.

Offernas			Prior Reco	ord Level			
Offense Class	I	п	III	IV	V	VI	Total
	А	А	А	А	A	А	А
Α	A = 44 (100%) (n = 44)	A = 18 (100%) (n = 18)	A = 13 (100%) (n = 13)	A = 12 (100%) (n = 12)	A = 0 (100%) (n = 0)	A = 3 (100%) (n = 3)	A = 90 (100%) (n = 90)
	Life/Death	Life/Death	Life/Death	Life/Death	A         A           00%) $A = 1 (100\%)$ $A = 3 (100\%)$ 8) $(n = 1)$ $(n = 3)$ nin         346 min         420 min		Life/Death
	Α	Α	Α	Α	Α	Α	Α
B1	A = 65 (100%) (n = 65)	A = 37 (100%) (n = 37)	A = 14 (100%) (n = 14)	A = 8 (100%) (n = 8)	· · · ·	· · · · ·	A = 128 (100%) (n = 128)
	195 min 243 max	232 min 288 max	280 min 346 max	336 min 412 max			230 min 286 max
	Α	А	А	А	А	А	А
B2	A = 84 (100%) (n = 84)	A = 94 (100%) (n = 94)	A = 47 (100%) (n = 47)	A = 24 (100%) (n = 24)	A = 4 (100%) (n = 4)	A = 4 (100%) (n = 4)	A = 257 (100%) (n = 257)
	143 min 181 max	166 min 208 max	190 min 237 max	220 min 274 max	198 min 246 max	275 min 340 max	170 min 213 max
	Α	Α	Α	Α	Α	Α	Α
С	A = 139 (100%) (n = 139)	A = 174 (100%) (n = 174)	A = 191 (100%) (n = 191)	A = 244 (100%) (n = 244)	A = 143 (100%) (n = 143)	A = 140 (100%) (n = 140)	A = 1,031 (100%) (n = 1,031)
	60 min 81 max	72 min 96 max	76 min 101 max	87 min 114 max	94 min 123 max	109 min 140 max	83 min 109 max
	Α	Α	Α	Α	Α	Α	Α
D	A = 272 (100%) (n = 272)	A = 218 (100%) (n = 218)	A = 127 (100%) (n = 127)	A = 71 (100%) (n = 71)	A = 26 (100%) (n = 26)	A = 32 (100%) (n = 32)	A = 746 (100%) (n = 746)
	50 min 69 max	61 min 82 max	76 min 100 max	85 min 111 max	98 min 127 max	108 min 140 max	65 min 87 max
	I/A	I/A	Α	Α	А	Α	I/A
Е	I = 405 (68%) A = 193 (32%) (n = 598)	I = 261 (60%) A = 171 (40%) (n = 432)	A = 141 (100%) (n = 141)	A = 101 (100%) (n = 101)	A = 37 (100%) (n = 37)	A = 36 (100%) (n = 36)	I = 666 (50%) A = 679 (50%) (n = 1,345)
	22 min         25 min           35 max         39 max		28 min 43 max	36 min 52 max	41 min 58 max	46 min 64 max	28 min 43 max
	I/A	I/A	I/A	Α	Α	Α	I/A
F	I = 477 (62%) A = 297 (38%) (n = 774)	I = 348 (54%) A = 300 (46%) (n = 648)	I = 177 (46%) A = 209 (54%) (n = 386)	A = 202 (100%) (n = 202)	A = 105 (100%) (n = 105)	A = 71 (100%) (n = 71)	I = 1,002 (46%) A = 1,184 (54%) (n = 2,186)
	14 min 17 max	15 min 19 max	17 min 21 max	20 min 24 max	25 min 31 max	29 min 35 max	18 min 22 max

# Table 4: Convictions and Sentences by Offense Class and Prior Record Level FY 2010/11 Felonies

Offense							
Class	I	Ш	III	IV	V	VI	Total
	I/A	I/A	I/A	I/A	Α	А	I/A
G	I = 577 (75%) A = 197 (25%) (n = 744)	I = 789 (70%) A = 339 (30%) (n = 1,128)	I = 449 (56%) A = 356 (44%) (n = 805)	I = 195 (42%) A = 264 (58%) (n = 459)	A = 143 (100%) (n = 143)	A = 134 (100%) (n = 134)	I = 2,010 (58%) A = 1,433 (42%) (n = 3,443)
	11 min 14 max	12 min 15 max	14 min 17 max	16 min 20 max	19 min 23 max	24 min 29 max	15 min 18 max
	C/I/A	I/A	I/A	I/A	I/A	Α	С/І/А
Н	$\begin{array}{l} C = 1,544 \; (44\%) \\ I = 1,484 \; (42\%) \\ A = 479 \; (14\%) \\ (n = 3,507) \end{array}$	I = 2,352 (70%) A =1,029 (30%) (n = 3,381)	I = 1,119 (52%) A = 1,048 (48%) (n = 2,167)	I = 537 (39%) A = 831 (61%) (n = 1,368)	I = 214 (30%) A = 508 (70%) (n = 722)	A = 612 (100%) (n = 612)	$\begin{array}{l} C = 1,544 \ (13\%) \\ I = 5,706 \ (49\%) \\ A = 4,507 \ (38\%) \\ (n = 11,757) \end{array}$
	5 min 7 max	7 min 8 max	9 min 11 max	10 min 12 max	13 min 16 max	18 min 22 max	10 min 12 max
	С	C/I	I	I/A	I/A	I/A	C/I/A
I	C = 1,771 (100%) (n = 1,771)	C = 1,275 (57%) $I = 973 (43%)$ $(n = 2,248)$	I = 983 (100%) (n = 983)	I = 389 (52%) A = 359 (48%) (n = 748)	I = 110 (35%) A = 201 (65%) (n = 311)	I = 113 (30%) A = 257 (70%) (n = 370)	$\begin{array}{l} C = 3,046 \ (47\%) \\ I = 2,568 \ (40\%) \\ A = \ 817 \ (13\%) \\ (n = 6,431) \end{array}$
				7 min	7 min	9 min	7 min
	N/A	N/A	N/A	8 max	9 max	11 max	9 max
TOTAL	C/I/A C = 3,315 (41%) I = 2,943 (37%) A = 1,770 (22%) (n = 8,028)	C/I/A C = 1,275 (15%) I = 4,723 (57%) A = 2,380 (28%) (n = 8,378)	I/A I = 2,728 (56%) A = 2,146 (44%) (n = 4,874) 27 min	I/A I = 1,121 (35%) A = 2,116 (65%) (n = 3,237) 27 min	I/A I = 324 (22%) A = 1,168 (78%) (n = 1,492) 28 min	I/A I = 113 (8%) A = 1,292 (92%) (n = 1,405) 22 min	C/I/A $C = 4,590 (17%)$ $I = 11,952 (43%)$ $A = 10,872 (40%)$ $(n = 27,414)$
	34 min 46 max	30 min 39 max	27 min 35 max	27 min 35 max	28 min 35 max	32 min 40 max	30 min 38 max

### Table 4: Convictions and Sentences by Offense Class and Prior Record Level (continued) FY 2010/11 Felonies

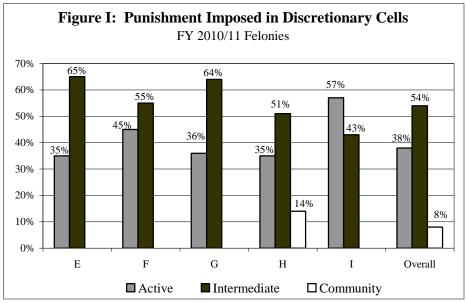
Note: Of the 29,446 felony convictions in FY 2010/11, 2,032 convictions were excluded from this table. These convictions did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation). Death and life without parole sentences were excluded from the calculation of the average minimum and maximum sentences.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### C. Punishment Imposed in Discretionary Cells

As shown in *Table 4*, where the judge had the option of imposing either an Active or community-based punishment (*i.e.*, Intermediate or Community punishment), an Intermediate punishment was most likely to be imposed for convictions falling within Offense Class G with Prior Record Level I (75%), while an Active sentence was most likely to be imposed for convictions falling within Class H with Prior Record V and within Class I with Prior Record Level VI (70% each).

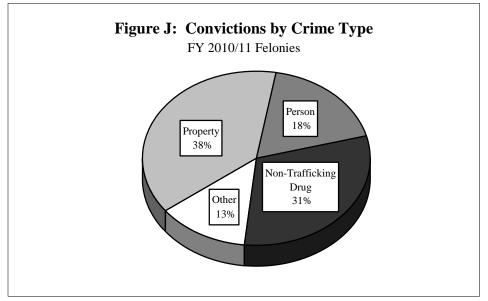
*Figure I* summarizes sentences imposed by offense class for discretionary cells with an Active punishment option.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **D.** Punishment Imposed by Crime Type

During Fiscal Year 2010/11, property offenses accounted for 38% of convictions, while convictions for non-trafficking drug accounted for 31%. (See *Figure J*.) For additional data on convictions by crime type, see *Appendix D*.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

The type of punishment imposed by crime type is shown in *Table 5*. Relative to other crime types, convictions for person offenses were most likely to result in the imposition of an Active punishment (64%), while convictions for non-trafficking drug offenses were least likely to result in the imposition of an Active punishment (22%). Of the four crime types, non-trafficking drug and property convictions had the highest percentage of Intermediate punishment sentences (48% and 47%, respectively); non-trafficking drug convictions had the highest percentage of Community punishment sentences (30%).

*Table 5* also displays the average minimum and maximum sentence lengths for convictions in which an Active punishment was imposed. The longest average Active sentences were imposed for convictions for person offenses.

		Т	ype of Pu	nishmer	Minimum	Maximum			
Crime Type	Active		Intermediate		Community		Active Sentence	Active Sentence	Total
	#	%	#	%	#	%	(Months)	(Months)	
Person	3,083	64	1,660	34	92	2	55	71	4,835
Property	3,714	36	4,870	47	1,784	17	12	15	10,368
Non-Trafficking Drug	1,899	22	4,084	48	2,501	30	12	15	8,484
Other Felony	2,176	58	1,338	36	213	6	40	52	3,727
TOTAL	10,872	40	11,952	43	4,590	17	30	38	27,414

## Table 5: Convictions and Sentences by Crime TypeFY 2010/11 Felonies

Note: Of the 29,446 felony convictions in FY 2010/11, 2,032 convictions were excluded from this table. These convictions did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation).

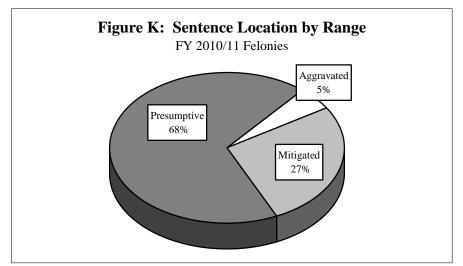
Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **IV. SENTENCE LOCATION IN THE SENTENCING RANGES**

This section presents information regarding the location of sentences imposed in the sentencing ranges and *deals only with convictions for which an Active sentence was imposed* (n=10,872). Class A convictions, which are subject to mandatory life or death sentences, are excluded from this section (n=90).

#### A. Sentence Location

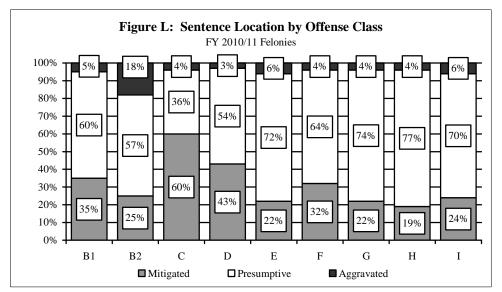
*Figure K* shows that 68% of all Active sentences fell within the presumptive range; 27% fell within the mitigated range; and 5% fell within the aggravated range.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **B.** Sentence Location by Offense Class and Prior Record Level

*Figure L* displays the percentage of convictions falling within the mitigated, presumptive, and aggravated ranges by offense class for Active sentences.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

For information regarding sentence location by offense class and prior record level, see *Table 6*. Convictions for Class G and Class H offenses had the highest percentages of Active sentences in

the presumptive range (74% and 77%, respectively), while convictions for Class C offenses had the lowest percentage of Active sentences in the presumptive range (36%). Convictions falling into Prior Record Level I had the highest percentage of Active sentences in the mitigated range (30%) while convictions falling into Prior Record Levels I and VI had the highest percentage of Active sentences in the aggravated range (at 6% each).

Overall, 27% of Active sentences were in the mitigated range and an additional 28% were at the lowest possible point of the presumptive range. Convictions for Class C offenses had the highest percentage of Active sentences in the mitigated range (60%), followed by convictions for Class D offenses (43%). In addition, as shown in *Appendix D*, 70% of Class C convictions and 63% of Class D convictions were sentenced within the mitigated range or at the lowest possible point of the presumptive range.

Offense	Sentencing	Prior Record Level								
Class	Range	Ι	II	III	IV	V	VI	Total		
	Mitigated	25 (39%)	13 (35%)	5 (36%)	1 (12%)	0 (0%)	1 (33%)	45 (35%)		
B1	Presumptive	36 (55%)	23 (62%)	8 (57%)	7 (88%)	1 (100%)	2 (67%)	77 (60%)		
	Aggravated	4 (6%)	1 (3%)	1 (7%)	0 (0%)	0 (0%)	0 (0%)	6 (5%)		
	Subtotal	65	37	14	8	1	3	128		
	Mitigated	21 (25%)	23 (25%)	14 (30%)	4 (17%)	2 (50%)	1 (25%)	65 (25%)		
B2	Presumptive	41 (49%)	59 (63%)	23 (49%)	19 (79%)	2 (50%)	3 (75%)	147 (57%)		
	Aggravated	22 (26%)	12 (12%)	10 (21%)	1 (4%)	0 (0%)	0 (0%)	45 (18%)		
	Subtotal	84	94	47	24	4	4	257		
	Mitigated	54 (39%)	85 (49%)	118 (62%)	164 (67%)	99 (69%)	92 (66%)	612 (60%)		
С	Presumptive	74 (53%)	77 (44%)	68 (36%)	70 (29%)	43 (30%)	43 (31%)	375 (36%)		
	Aggravated	11 (8%)	12 (7%)	5 (2%)	10 (4%)	1 (1%)	5 (3%)	44 (4%)		
	Subtotal	139	174	191	244	143	140	1,031		

# Table 6: Sentence Location by Offense Class and Prior Record Level (Active Sentences Only) FY 2010/11 Felonies

Offense	Sentencing			Prior R	ecord Level			Total
Class	Range	Ι	II	III	IV	V	VI	100
	Mitigated	134 (49%)	83 (38%)	44 (35%)	32 (45%)	10 (38%)	15 (47%)	318 (43%)
D	Presumptive	127 (47%)	127 (58%)	80 (63%)	38 (54%)	16 (62%)	17 (53%)	405 (54%)
	Aggravated	11 (4%)	8 (4%)	3 (2%)	1 (1%)	0 (0%)	0 (0%)	23 (3%)
	Subtotal	272	218	127	71	26	32	746
	Mitigated	45 (23%)	27 (16%)	42 (30%)	22 (22%)	9 (24%)	7 (19%)	152 (22%)
E	Presumptive	137 (71%)	136 (79%)	90 (64%)	73 (72%)	25 (68%)	27 (75%)	488 (72%)
	Aggravated	11 (6%)	8 (5%)	9 (6%)	6 (6%)	3 (8%)	2 (6%)	39 (6%)
	Subtotal	193	171	141	101	37	36	679
	Mitigated	83 (28%)	86 (29%)	69 (33%)	80 (40%)	35 (33%)	23 (32%)	376 (32%)
F	Presumptive	196 (66%)	207 (69%)	131 (63%)	117 (58%)	63 (60%)	42 (59%)	756 (64%)
	Aggravated	18 (6%)	7 (2%)	9 (4%)	5 (2%)	7 (7%)	6 (9%)	52 (4%)
	Subtotal	297	300	209	202	105	71	1,184
	Mitigated	43 (22%)	80 (24%)	84 (24%)	66 (25%)	25 (18%)	21 (16%)	319 (22%)
G	Presumptive	147 (75%)	247 (73%)	265 (74%)	188 (71%)	105 (73%)	100 (74%)	1,052 (74%)
	Aggravated	7 (3%)	12 (3%)	7 (2%)	10 (4%)	13 (9%)	13 (10%)	62 (4%)
	Subtotal	197	339	356	264	143	134	1,433
	Mitigated	109 (23%)	200 (19%)	221 (21%)	115 (14%)	102 (20%)	123 (20%)	870 (19%)
н	Presumptive	350 (73%)	798 (78%)	794 (76%)	678 (81%)	377 (74%)	453 (74%)	3,450 (77%)
	Aggravated	20 (4%)	31 (3%)	33 (3%)	38 (5%)	29 (6%)	36 (6%)	187 (4%)
	Subtotal	479	1,029	1,048	831	508	612	4,507

#### Table 6: Sentence Location by Offense Class and Prior Record Level (continued) (Active Sentences Only) FY 2010/11 Felonies

Offense	Sentencing		-	Prior R	ecord Level	-		Total
Class	Range	Ι	II	III	IV	V	VI	Total
	Mitigated	N/A	N/A	N/A	86 (24%)	60 (30%)	51 (20%)	197 (24%)
I	Presumptive	N/A	N/A	N/A	254 (71%)	135 (67%)	186 (72%)	575 (70%)
	Aggravated	N/A	N/A	N/A	19 (5%)	6 (3%)	20 (8%)	45 (6%)
	Subtotal	N/A	N/A	N/A	359	201	257	817
	Mitigated	514 (30%)	597 (25%)	597 (28%)	570 (27%)	342 (29%)	334 (26%)	2,954 (27%)
TOTAL	Presumptive	1,108 (64%)	1,674 (71%)	1,459 (68%)	1,444 (69%)	767 (66%)	873 (68%)	7,325 (68%)
	Aggravated	104 (6%)	91 (4%)	77 (4%)	90 (4%)	59 (5%)	82 (6%)	503 (5%)
	Subtotal	1,726	2,362	2,133	2,104	1,168	1,289	10,782

#### Table 6: Sentence Location by Offense Class and Prior Record Level (continued) (Active Sentences Only) FY 2010/11 Felonies

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table. In addition, Class A convictions, which are subject to mandatory life or death sentences, were excluded from this table (n=90). Percentages may not add to 100% due to rounding.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### C. Sentence Location by Crime Type

The sentence location for selected crime types is contained in *Table 7*. Of the four crime types, convictions for person offenses accounted for the highest percentage of aggravated sentences (6%). Convictions in the category of other felony offenses accounted for the lowest percentage of presumptive sentences (55%) and the highest percentage of mitigated sentences (42%) relative to the other crime types. Convictions under the habitual felon law accounted for the largest number of convictions in the other felony category, representing 20% of convictions in this category. (This category does not reflect the underlying offense for a habitual felon conviction, which may be in a different category. Table 14 presents detailed information on underlying offenses for habitual felons.) For additional information regarding convictions by crime type, see *Appendix D*.

#### **Sentencing Range** Mitigated Aggravated Presumptive **Crime Type** Total Range Range Range # # % % # % 886 30 1,922 185 2,993 Person 64 6 **Property** 752 20 2,808 76 154 4 3,714 **Non-Trafficking Drug** 406 21 1.405 74 88 5 1.899 **Other Felony** 910 42 1,190 55 76 3 2,176 TOTAL 2,954 503 5 27 7,325 68 10,782

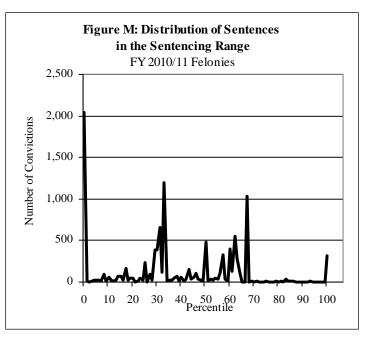
# Table 7: Sentence Location by Crime Type<br/>(Active Sentences Only)<br/>FY 2010/11 Felonies

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table. In addition, Class A convictions, which are subject to mandatory life or death sentences, were excluded from this table (n=90).

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **D.** Length of Active Sentence Relative to the Sentencing Range

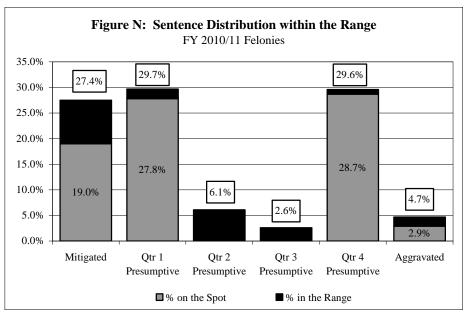
Viewing the entire sentencing range as a continuum from the lowest possible sentence imposed in the mitigated range to the highest possible sentence imposed in the aggravated range, on average the 10,782 active sentences imposed (excluding the 90 Class A convictions with life or death sentences) fell below the midpoint of the range (at the  $33^{rd}$  percentile above the minimum). Close examination of the actual location of each Active sentence within its respective range revealed that the majority of offenders were sentenced within the first or fourth quarter of the presumptive portion of the range (29.7% and 29.6% of all cases, respectively) (see Figure *M* and *Table 9*).



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Further analysis indicates that over three-quarters (78.3%) of all Active sentences were located on one of only *four* specific spots of their respective ranges (*i.e.*, the lowest spot of the mitigated range, the lowest spot of the presumptive range, the highest spot of the presumptive range). As shown in *Table 8* and *Figure N*, 19.0% of Active sentences fell on the lowest point of the mitigated range; 27.8% fell on the lowest point of the presumptive range; 28.7% fell on the highest point of the presumptive range.

For information regarding sentences imposed on one of the four specific spots of the sentencing range by offense class, see *Table 8*. Convictions for Class H and I offenses had the highest percentages of Active sentences located on one of the four spots, with 87.1% and 89.4% of all Active sentences, respectively, located on one of four specific spots of the range. Convictions for Class B2 offenses had the lowest percentage of Active sentences located on one of the four specific spots of the sentencing range (55.6%).



Note: Percentages may not add to 100% due to rounding.

		Location i	in the Range		
Offense Class	Mitigated Range	Presump	tive Range	Aggravated Range	% on the Four Spots
	% Lowest Spot	% Lowest Spot	% Highest Spot	% Highest Spot	
B1	20.3	21.9	25.8	3.1	71.0
B2	15.6	12.1	19.5	8.6	55.6
С	41.3	10.3	11.0	1.0	63.5
D	24.1	20.0	14.2	1.5	59.8
E	13.6	24.2	28.6	3.4	69.7
F	19.2	29.8	21.8	2.4	73.1
G	15.1	31.5	28.5	2.7	77.8
Н	15.1	32.5	36.4	3.1	87.1
Ι	19.2	30.4	35.3	4.5	89.4
TOTAL	19.0	27.8	28.7	2.9	78.3

#### Table 8: Most Frequent Sentencing Range Spots by Offense Class (Active Sentences Only) FY 2010/11 Felonies

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table. Percentages may to not add to totals due to rounding.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

The percentage of sentences in the mitigated range for Class C (60%) largely reflects the sentencing of habitual felons (see *Appendix D*, *Table 3*). Seventy percent of habitual felons received sentences in the mitigated range, compared to 33% of other (non-habitual) felons in Class C. Without regard to offense class, 68% of felonies received sentences in the presumptive range and 27% received sentences in the mitigated range. For habitual felons, the pattern was reversed, with 70% receiving sentences in the mitigated range and 27% in the presumptive range (see *Appendix D*, *Figure 1*).

Table 9 shows the percentage of sentences in each quarter of the sentencing range by crime type. The numbers in parentheses show the percentage of sentences on each of the four spots. Property and non-trafficking drug offenses (85.2% and 85.5%, respectively) account for the largest percentages of sentences on the four spots of the range.

# Table 9: Sentencing Range by Crime Type<br/>(Active Sentences Only)<br/>FY 2010/11 Felonies

			Location	in the Rang	e		
Crime Type	%		Presump	%	% on the Four		
	Mitigated Range (Min. Spot)	% First Quarter (Min. Spot)	% Second Quarter	% Third Quarter	% Fourth Quarter (Max. Spot)	Aggravated Range (Max. Spot)	Spots
Person	29.6 (18.8)	26.7 (22.9)	5.5	5.2	26.9 (24.7)	6.2 (3.2)	69.5
Property	20.3 (15.2)	32.8 (32.1)	7.4	0.8	34.7 (34.7)	4.2 (3.2)	85.2
Non-Trafficking Drug	21.4 (16.3)	32.3 (31.4)	5.4	1.6	34.6 (34.4)	4.6 (3.3)	85.5
Other Felony	41.8 (28.0)	26.4 (24.0)	5.3	2.9	20.1 (18.8)	3.5 (1.7)	72.4
TOTAL	27.4 (19.0)	29.7 (27.8)	6.1	2.6	29.6 (28.7)	4.7 (2.9)	78.3

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table. Percentages may not add to 100% due to rounding.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### V. INTERMEDIATE PUNISHMENTS

This section summarizes the types of intermediate sanctions imposed. It only includes convictions for which an Intermediate punishment was imposed at sentencing.<sup>3</sup> Under Structured Sentencing, all offenders who receive an Intermediate punishment must receive a term of supervised probation plus at least one additional sanction. The specific intermediate sanctions are Intensive Supervision Probation (ISP), Special Probation (SP), House Arrest with Electronic Monitoring (HAEM), Day Reporting Center (DRC), Residential Treatment Facility (RESID), and Drug Treatment Court (DTC). It should be noted that these sanctions are not equally available across the state. See Appendix E for a brief description of each type of intermediate sanction.

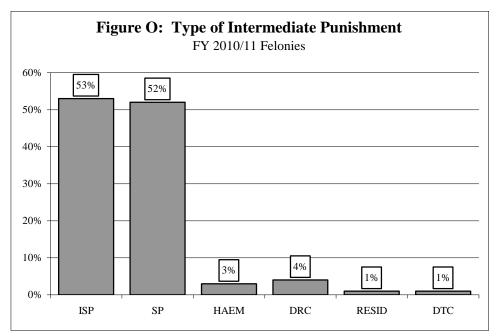
During Fiscal Year 2010/11, Intermediate punishments were imposed for 11,952 convictions. The specific type(s) of intermediate sanction(s) imposed was reported in the AOC database for 11,823 convictions – a reporting rate of 99%.

It is possible that a single conviction could result in the imposition of more than one intermediate sanction. *Consequently, the following tables reflect the total number of intermediate sanctions imposed rather than the total number of offenders receiving an intermediate sanction.* 

<sup>&</sup>lt;sup>3</sup> Intermediate punishments that are subsequently imposed as a result of modifications to probation are not included.

#### A. Intermediate Punishments Imposed

*Figure O* illustrates the percentage of convictions for which specific intermediate sanctions were imposed. The figure indicates that Intensive Supervision Probation and Special Probation accounted for the highest percentage of convictions for which a specific intermediate sanction was reported (53% and 52%, respectively). Assignment to Drug Treatment Court or a Residential Treatment Facility accounted for the smallest percentage of convictions for which a specific intermediate sanction was reported (1% each).



Note: The percentages in this figure do not add to 100%.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **B.** Intermediate Punishments by Offense Class and Prior Record Level

*Table 10* shows the frequency and average probation length of Intermediate punishments by offense class and prior record level. Of those convictions for which specific intermediate sanctions were reported, the greatest number was imposed for Class H felonies (n=6,359). For Class H, Intensive Supervision Probation was the most frequently invoked sanction (n=2,946), followed by Special Probation (n=2,842). Convictions for Class E felonies resulted in the longest average probation sentences for Intermediate punishments (36 months). Convictions for which a Residential Facility sanction was imposed resulted in the longest average probation sentence of all the Intermediate punishments (36 months). Overall, convictions for which an Intermediate punishment was imposed resulted in an average probation sentence of 30 months.

0.66	Prior		• -		diate Punish ge Probation			
Offense Class	Record Level	Special Probation	Residential Facility	Day Reporting Center	Intensive Supervision Probation	Drug Treatment Court	House Arrest w/ Elect. Mon.	Total
	Ι	260	1	9	217	0	21	508
E	II	169	5	5	5 129		6	315
	Subtotal	<b>429</b> 35 months	<b>6</b> 48 months	<b>14</b> 33 months	<b>346</b> 37 months	<b>1</b> 48 months	<b>27</b> 38 months	<b>823</b> 36 months
	Ι	249	4	8	252	1	16	530
	Π	206	5	3	172	1	15	402
F	III	112	1	1	85	0	9	208
	Subtotal	<b>567</b> 34 months	<b>10</b> 37 months	<b>12</b> 33 months	<b>509</b> 35 months	<b>2</b> 24 months	<b>40</b> 36 months	<b>1,140</b> 34 months
	Ι	299	4	21	334	2	16	676
	II	428	13	20	432	10	23	926
G	III	273	6	7	220	0	12	518
	IV	117	3	4	100	0	11	235
	Subtotal	<b>1,117</b> 31 months	<b>26</b> 36 months	<b>52</b> 32 months	<b>1,086</b> 33 months	<b>12</b> 30 months	<b>62</b> 33 months	<b>2,355</b> 32 months
	Ι	768	12	68	718	19	50	1,635
	Π	1,128	28	93	1,229	38	69	2,585
	III	561	21	35	615	13	22	1,267
Н	IV	267	12	17	287	12	26	621
	V	118	10	8	97	8	10	251
	Subtotal	<b>2,842</b> 29 months	<b>83</b> 35 months	<b>221</b> 30 months	<b>2,946</b> 31 months	<b>90</b> 31 months	<b>177</b> 32 months	<b>6,359</b> 30 months

# Table 10: Type of Intermediate Punishment by Offense Class and Prior Record LevelFY 2010/11 Felonies

#### Table 10: Type of Intermediate Punishment by Offense Class and Prior Record Level (continued) FY 2010/11 Felonies

0.00	Prior				diate Punish ge Probation			
Offense Class	Record Level	Special Probation	Residential Facility	Day Reporting Center	Intensive Supervision Probation	Drug Treatment Court	House Arrest w/ Elect. Mon.	Total
	II	402	12	51	548	16	34	1,063
	III	452	10	38	515	12	19	1,046
I	IV	170	9	20	209	12	7	427
1	V	57	3	6	50	6	1	123
	VI	55	13	6	46	7	7	134
	Subtotal	<b>1,136</b> 26 months	<b>47</b> 35 months	<b>121</b> 25 months	<b>1,368</b> 28 months	<b>53</b> 27 months	<b>68</b> 29 months	<b>2,793</b> 27 months
TOTAL		<b>6,091</b> 30 months	<b>172</b> 36 months	<b>420</b> 29 months	<b>6,255</b> 31 months	<b>158</b> 30 months	<b>374</b> 32 months	<b>13,470</b> 30 months

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### C. Intermediate Punishments by Crime Type

*Table 11* shows the frequency of Intermediate punishments by crime type. Of those convictions for which a specific intermediate sanction was reported, the largest number was for property offenses (n=5,428), followed by non-trafficking drug offenses (n=4,558).

		Туре	of Intermed	diate Punishr	nent		
Crime Type	Special Probation	Residential Facility	Day Reporting Center	Intensive Supervision Probation	Drug Treatment Court	House Arrest w/ Elect. Mon.	Total
Person	1,026	13	36	827	3	55	1,960
Property	2,483	103	189	2,426	77	150	5,428
Non-Trafficking Drug	1,869	44	163	2,297	73	112	4,558
Other Felony	713	12	32	705	5	57	1,524
TOTAL	6,091	172	420	6,255	158	374	13,470

### Table 11: Type of Intermediate Punishment by Crime TypeFY 2010/11 Felonies

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### VI. COMMUNITY PUNISHMENTS

This section includes only those convictions for which a Community punishment was the most serious sanction imposed. During Fiscal Year 2010/11, there were 4,590 convictions for which a Community punishment was imposed. The specific type(s) of Community punishment(s) imposed was reported in the AOC database for 4,574 convictions – a reporting rate of 99%.<sup>4</sup> Of these 4,574 convictions, 4,430 (97%) were for supervised probation; 136 (3%) were for unsupervised probation, and 8 (<1%) were for restitution, fines, and/or community service only. For more information about community service and restitution, refer to Appendix F.

#### A. Community Punishments by Offense Class

*Table 12* shows the type of Community punishment imposed – either Supervised Probation, Unsupervised Probation, Restitution/Fine/Community Service Only, or Sanction Not Specified – by offense class, as well as information regarding the average length of probation sentences. Class I felony offenses accounted for the largest number of convictions for which a Community punishment was imposed (n=3,046). Convictions for Class H felonies resulted in the longest average probation sentences for Community punishments (27 months). Overall, convictions for which a Community punishment was imposed resulted in an average probation sentence of 24 months.

<sup>&</sup>lt;sup>4</sup> It is not clear why a specific Community punishment was not reported for 1% of the convictions in which a Community punishment was imposed. In some cases, the court may not have specified a sanction. In other instances, the court may have imposed a sanction for which there is no specific data field in the AOC's ACIS (*e.g.*, outpatient drug/alcohol treatment or referral to TASC).

Offense	(Nu	• •	unity Punishment age Probation Leng	gth)	
Class	Supervised	Unsupervised	Restitution/Fine/ Community Service Only	Sanction Not Specified	Total
Н	1,493	44	4	3	1,544
	27 months	17 months	N/A	N/A	27 months
т	2,937	92	4	13	3,046
L	23 months	18 months	N/A	N/A	22 months
TOTAL	4,430	136	8	16	4,590
IUIAL	24 months	18 months	N/A	N/A	24 months

### Table 12: Type of Community Punishment by Offense ClassFY 2010/11 Felonies

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **B.** Community Punishments by Crime Type

Information regarding the type of Community punishment imposed by crime type is provided in *Table 13*. The table also includes the average length of probation sentences. Non-trafficking drug offenses represented the largest offense category for which a Community punishment was imposed (n=2,501). Convictions for property offenses had the longest average probation sentences (27 months).

		• 1	nity Punishment ge Probation Leng	gth)		
Crime Type	Supervised	Unsupervised	Restitution/Fine/ Community Service Only	Sanction Not Specified	Total	
Person	89	3	0	0	92	
	24 months	22 months	N/A	N/A	24 months	
Property	1,725	51	4	4	1,784	
	28 months	18 months	N/A	N/A	27 months	
Non-Trafficking Drug	2,418	71	3	9	2,501	
	21 months	17 months	N/A	N/A	21 months	
Other Felony19824 month		11	1	3	213	
		21 months	N⁄A	N/A	24 months	
TOTAL	4,430	136	8	16	4,590	
	24 months	18 months	<i>N/A</i>	N/A	24 months	

### Table 13: Type of Community Punishment by Crime TypeFY 2010/11 Felonies

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### VII. SPECIAL ISSUES

This section reviews other issues of interest, including habitual felon status, firearm enhancement, death and life sentences, and drug trafficking convictions.

#### A. Habitual Felons

An habitual felon is an offender with at least three prior felony convictions (each conviction having occurred before he or she committed the next offense) who has currently been convicted of a felony offense and who has been found by a jury to be an habitual felon. An habitual felon is sentenced as a Class C felon. (N.C.G.S. §§ 14-7.1 to -7.6) There were 750 habitual felon convictions during Fiscal Year 2010/11. *Table 14* shows the breakdown by offense class and crime type of the most serious conviction associated with habitual felon convictions. The most serious underlying conviction represents the most serious current conviction for which the habitual felon convictions. Forty-one percent of habitual felons had a conviction for a Class H offense as their underlying conviction (n=311). Thirty-two percent of habitual felons had some type of drug offense as their underlying conviction (n=237). Felony Breaking and/or Entering was the most frequently occurring most serious crime associated with habitual felon convictions (n=117). Seventy percent of habitual felon convictions were sentenced in the mitigated range and an additional 12% were sentenced at the lowest point of the presumptive range (see *Appendix D*).

# Table 14: Most Serious Crime Associated with Habitual Felon Convictions<br/>by Offense Class and Offense<br/>FY 2010/11 Felonies

Offense Class	Offense	Total	Offense Class	Offense	Total
CLASS B2	2 <sup>nd</sup> Degree Murder	2		Trafficking in Methamphetamine	1
CLASS D2	Subtotal	2		Fel. Hit/Run Ser. Inj./Death	1
	1 <sup>st</sup> Degree Kidnapping	7	1	Felony Stalking	1
	ClassOffenseTotalClassOffenseLASS B22nd Degree Murder22Subtotal221d Degree Kidnapping722nd Degree Rape11AWDWIKISI21AWDWIKISI20ther Person Offenses2Other Person Offenses20ther Person Offenses2Other Person Offenses20ther Pregory Offenses0ther Pregory OffensesOther Drug Offenses20ther Person Offenses2Notuntary Manslaughter22Subtotal16Nothery W Dangerous Weapon20121a' Degree Burglary72Sell/Deliver Sch. II CS1a' Degree Burglary72Sell/Deliver Sch. II CS1bischarge Weap. Occ. Dwell/MV12Sell/Deliver Cocaine2nd Degree Kidnapping33Sell/Deliver Heroin3wDW Intent to Kill33Sell Schedule II CSAWDW Strious Injury14Sell Schedule II CSAssault LEOPO/Other w/ Firearm1Sell Schedule II CSTrafficking in Cocaine11Intentional Child Abuse-Ser. Phys. Injury1Agg. Fel. Ser. Injury by Vehicle1Discharge Weapon Occ. Prop.4Other Drug Offenses6Felonious Restraint1Indecent Liberties with Child9Subtotal35Att. Common Law RobberyFelonious Restraint1Indecent Lib	11			
		6			
CLASS C	Att. Obtain Prop. False Pretense	2		Other Drug Offenses	8
	HassOffenseTotalClassOffenseSts E22 <sup>nd</sup> Degree Murder22 <sup>nd</sup> Degree Kidnapping72 <sup>nd</sup> Degree Rape1AWDWIKISI2Att. Obtain Prop. False Pretense2Other Person Offenses2Other Person Offenses2Voluntary Manslaughter2Att. Robbery W Dangerous Weapon201 <sup>st</sup> Degree Kidnapping3AWDW Intent to Kill3AWDW Serious Injury14Assault LEOPO/Other W/Firearm1Intentional Child Abuse-Ser. Phys.1Injury2Agg. Fel. Ser. Injury by Vehicle1Agg. Fel. Ser. Injury by Vehicle1Agg. Fel. Ser. Injury by Vehicle1Indecent Liberties with Child9Failure to Reg. Sex Offender12Malicious Conduct by Prisoner5Malicious Conduct by Prisoner5Malicious Conduct by Prisoner1Indecent Liberties with Child9Failure to Reg. Sex Offender <t< td=""><td>1</td></t<>	1			
	Other Drug Offenses	2		Subtotal	63
	Subtotal	16		InterfaceTrafficking in MethamphetamineFel. Hit/Run Ser. Inj./DeathFelony StalkingHabitual Impaired DrivingOther Person OffensesOther Drug OffensesOther Drug OffensesOther Felony OffensesOther Felony OffensesOther Person OffensesOther Person OffensesOther Person OffensesOther Drug OffensesOther Pelony OffensesOther Pelony OffensesOther Pelony OffensesSubtotalCommon Law RobberyBreak/Enter Place of Worship2nd Degree BurglaryPoss. 5+ Counterfeit InstrumentIdentity TheftSell/Deliver Sch. II CSSell/Deliver CocaineSell/Deliver HeroinSell CocaineSell CocaineSell MethamphetamineConspire Sell CocaineTrafficking in CocainePossession of Firearm by FelonOther Property OffensesOther Drug OffensesOther Drug OffensesOther Drug OffensesOther Drug OffensesAtt. Common Law RobberyAssault by StrangulationHabitual Misdemeanor AssaultBurning Personal PropertyBreaking and/or EnteringFelony Larceny	23
	Voluntary Manslaughter	2		Break/Enter Place of Worship	3
CLASS B22 <sup>nd</sup> Degree Murder2CLASS B22 <sup>nd</sup> Degree Kidnapping72 <sup>nd</sup> Degree Kidnapping72 <sup>nd</sup> Degree Rape1AWDWIKISI2Att. Obtain Prop. False Pretense2Other Person Offenses2Other Person Offenses2Voluntary Manslaughter2Att. Robbery Dang. Weapon2Person Offenses1Other Person Offenses1Discharge Weap. Occ. Dvell./MV1Other Person Offenses1Subtotal33AWDW Intent to Kill3AWDW Serious Injury14Assault LEO/PO/Other W Firearm1Trafficking in Cocaine1Trafficking in Cocaine1Trafficking in Cocaine1Discharge Weapon Occ. Prop.4Other Drug Offenses6Discharge Weapon Occ. Prop.4Other Drug Offenses6Other Drug Offenses6Felonious Restraint1Indecent Liberties with Child9Felonious Restraint1Indecent Liberties with Child9Felonious Restraint1Indecent Liberties with Child9Felonious Restraint1Indecent Lib	2 <sup>nd</sup> Degree Burglary	6			
	Poss. 5+ Counterfeit Instrument	1			
	8				
	Discharge Weap. Occ. Dwell./MV	1		Sell/Deliver Sch. II CS	7
	Other Person Offenses	1		Sell/Deliver Cocaine	16
	Sell/Deliver Heroin	1			
2 <sup>nd</sup> Degree Kidna	2 <sup>nd</sup> Degree Kidnapping	3		Sell Cocaine	34
	AWDW Intent to Kill	Subtotal2happing7pe12222Offenses2Subtotal16renses2Subtotal16salaghter222Subtotal16glary7ap. Occ. Dwell./MV1Offenses1Subtotal33it to Kill33it to Kill33it to Kill3it do Kill1it do Kill1<	3		
	AWDW Serious Injury		5		
	<ul> <li>S B2</li> <li>Subtotal</li> <li>Subtotal</li> <li>S B2</li> <li>S C</li> <li>Att Obtain Prop. False Pretense</li> <li>Other Person Offenses</li> <li>Sell/Deliver Cocaine</li> <li>Sell/Deliver Cocaine</li> <li>Sell Cocaine</li> <li>Sell Cocaine</li> <li>Sell Cocaine</li> <li>Sell Cocaine</li> <li>Sell Methamphetamine</li> <li>Conspire Sell Cocaine</li> <li>Trafficking in Cocaine</li> <li>Other Drug Offenses</li> <li>Other Drug Offenses</li> <li>Other Drug Offenses</li> <li>Subtotal</li> <li>St</li> <li>Felonious Restraint</li> <li>Indecent Liberties with Child</li> <li>Sp</li> <li>Felonious Restraint</li> <li>Indecent Liberties with Child</li> <li>Sp</li> <li>Felonious Restraint</li> <li>Indecent Liberties with Child</li> <li>Sp</li> <li>Felonious Restraint</li> <li>Indecent Liberties with Child</li> <li>Sp<td>1</td></li></ul>	1			
	Trafficking in Cocaine	2       .       Fel. Hit/Run Ser. Inj./Death         7       .       Fel. Hit/Run Ser. Inj./Death         1       .       Fel. Hit/Run Ser. Inj./Death         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         16       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         2       .       .         3       .       .         3       .       .         3       .       .         3       .       .         3       .       .         1       .       .	1		
CLASS E	2 <sup>nd</sup> Degree Sex. Exploit. Minor	1		cont.)Other Person Offenses Other Drug Offenses Other Felony Offenses Other Felony OffensesImage: Image: Ima	1
	2 <sup>nd</sup> Degree Kidnapping       3         AWDW Intent to Kill       3         AWDW Serious Injury       14         Assault LEO/PO/Other w/ Firearm       1         Trafficking in Cocaine       1         CLASS E       2 <sup>nd</sup> Degree Sex. Exploit. Minor         Intentional Child Abuse-Ser. Phys.       1	50			
	Agg. Fel. Ser. Injury by Vehicle	1		Other Property Offenses	2
	Discharge Weapon Occ. Prop.	4		Other Drug Offenses	9
	Other Drug Offenses	6		Subtotal	171
	Subtotal	35		Att. Common Law Robbery	1
	Felonious Restraint	1		Assault by Strangulation	2
	Indecent Liberties with Child	9		Habitual Misdemeanor Assault	4
CLASS F	-		CLASS H		1
	-	5			117
					31
	AWDW Gov't. Official	6		Larceny of a Firearm	1

#### Table 14: Most Serious Crime Associated with Habitual Felon Convictions by Offense Class and Offense (continued) FY 2010/11 Felonies

Offense Class	Offense	Total		Offense Class	Offense	Total
	Larceny by Anti-Inventory Dev.	1			Other Property Offenses	3
	Larceny from the Person	3			Other Drug Offenses	8
	Poss. Stolen Goods/Prop.	11		_	Other Felony Offenses	3
	Larceny After Break/Enter	6		_	Subtotal	311
	Larceny of Motor Vehicle	9			Possession of Burglary Tools	1
	Common Law Uttering	1			Att. Break or Enter Bldg.	1
	Att. Obtain Prop. False Pretense	3			Break or Enter a Motor Vehicle	35
	Obtain Property False Pretense	19			Att. Larceny	1
	Identity Theft	1			Larceny of Dog	1
	Sell/Deliver Sch. II CS	1			Forgery of Instrument	2
	Poss. CS Prison/Jail Premises	1			Uttering Forged Instrument	5
	Sell/Deliver Marijuana	1			Uttering Forged Endorsement	1
	Sell/Deliver Cocaine	1			Obtain CS by Fraud/Forgery	1
	Sell Marijuana	1			Felony Worthless Check	1
	Sell Sch. III CS	1	1		Deliver Marijuana	1
CLASS H (cont.)	Sell Sch. VI CS	1			Possess Methamphetamine	3
	Deliver Cocaine	1		CLASS I	Sell or Deliver Counterfeit CS	1
	Deliver Heroin	1			Felony Possession Sch. I CS	1
	Manufacture Sch. I CS	1			Felony Possession Sch. II CS	1
	PWIMSD Sch. II CS	3			Manufacture Marijuana	1
	PWISD Cocaine	46			PWISD Marijuana	14
	PWIMSD Cocaine	3			PWIMSD Marijuana	1
	PWIMSD Heroin	2			Felony Possession Marijuana	2
	Sex Offender/Child Premises	4			Felony Possession Cocaine	37
	DV Protective Order Viol.	2			Possess Heroin	3
	Fel. Hit/Run Injury	1			Maintain Veh./Dwell./Place CS	2
	Escape from State Prison	1			Other Drug Offenses	1
	Possess Stolen Motor Vehicle	3			Other Property Offenses	2
	Elude Arrest MV 2 Agg. Factors	8			Subtotal	119
	Elude Arrest MV >=3 Agg. Fact.	2		TOTAL		750
	Other Person Offenses	1		TOTIL		100

#### **B.** Firearm Enhancement

The firearm enhancement requires the judge to increase an offender's active sentence by sixty months if the offender is convicted of a Class A, B1, B2, C, D, or E felony and the jury finds that he or she used, displayed, or threatened to use or display a firearm during the commission of the felony. The firearm enhancement cannot be applied if the firearm is used to prove an element of the offense, nor can it be applied to non-active sentences. (N.C.G.S. § 15A-1340.16A) The firearm enhancement was not applied to any convictions during Fiscal Year 2010/11.

#### C. Death and Life without Parole Sentences and Violent Habitual Felon Convictions

Offenders convicted of first degree murder may receive either a death sentence or life without parole. The sentence of life without parole is also required for offenders found to be violent habitual felons, certain offenders convicted of second or subsequent Class B1 felonies, and offenders convicted of first-degree rape or sexual assault who are sentenced from the aggravated range in Prior Record Levels V and VI. During Fiscal Year 2010/11, three death sentences and 87 life without parole sentences were imposed for felons convicted of first degree murder.

A violent habitual felon is an offender with at least two prior Class A, B1, B2, C, D, or E felony convictions (each conviction having occurred before he or she committed the next offense) who has currently been convicted of a Class A, B1, B2, C, D, or E felony offense and who has been found by a jury to be a violent habitual felon. A violent habitual felon is sentenced to life without parole. (N.C.G.S. §§ 14-7.7-7.12) During Fiscal Year 2010/11, one life without parole sentence was imposed for a violent habitual felon conviction. The most serious offense associated with the violent habitual felon conviction was robbery with a dangerous weapon.

#### **D.** Drug Trafficking Convictions

Drug trafficking offenses are subject to mandatory active sentences with the following minimum and maximum sentence lengths regardless of the offender's prior record level.

Drug Trafficking	<u>Minimum</u>	Maximum
Class C	225 months	279 months
Class D	175 months	219 months
Class E	90 months	117 months
Class F	70 months	84 months
Class G	35 months	42 months
Class H	25 months	30 months

If the judge finds that the offender provided substantial assistance in the identification, arrest, or conviction of any accomplices, accessories, co-conspirators or principals, then the judge may impose any lesser minimum and corresponding maximum sentence, or suspend the sentence and enter any sentence within his or her discretion. (N.C.G.S. § 90-95(h))

As shown in *Table 15*, there were 687 convictions for drug trafficking offenses in FY 2010/11. The most frequently occurring drug trafficking offense convictions were in Offense Class G (n=308).

			Ту	pe of P	unishme	ent		Minimum	Maximum	
Ofi	fense Class and Trafficking Offense	Ac	tive	Intern	nediate	Comm	nunity	Active Sentence	Active Sentence	Total
		#	%	#	%	#	%	(months)	(months)	
	Traffic Amphet./Metham.	1	100					225	279	1
	Traffic Methamphetamine	2	100	•	•			158	198	2
C	Traffic Cocaine			1	100			225	279	1
C	Traffic Heroin	6	100					203	252	6
	Other Trafficking	3	75		•	1	25	132	166	4
	Subtotal	12	86	1	7	1	7	179	223	14
	Traffic Cocaine	6	86	1	14			136	173	7
D	Consp. Traffic Cocaine	4	100			•	•	140	175	4
2	Other Trafficking	21	88	3	13	•	·	134	168	24
	Subtotal	31	89	4	11	•	•	135	170	35
	Traffic Cocaine	1	100	•		•	•	35	42	1
	Traffic Heroin	20	83	4	17	•	•	83	109	24
E	Consp. Traffic Heroin	1	100	•		•	•	90	117	1
	Other Trafficking	14	74	4	21	1	5	75	98	19
	Subtotal	36	80	8	18	1	2	79	103	45
	Traffic Amphet./Meth.	4	80	1	20			68	84	5
	Consp. Traffic Methamphetamine	1	50	1	50		•	70	84	2
	Traffic MDA/MDMA	2	50	2	50		•	60	72	4
	Consp. Traffic MDA/MDMA			1	100			70	80	1
F	Traffic Methamphetamine	12	92	1	8			67	81	13
Г	Traffic Cocaine	19	100					55	66	19
	Traffic Heroin	53	68	20	26	5	6	58	69	78
	Consp. Traffic Cocaine	3	100					51	61	3
	Consp. Traffic Heroin			1	100			21	26	1
	Other Trafficking	75	87	8	9	3	4	61	73	86
	Subtotal	169	80	35	17	8	4	60	72	212

#### Table 15: Drug Trafficking Convictions FY 2010/11 Felonies

0.6			Ту	pe of I	Punishme	nt		Minimum	Maximum	
Off	ense Class and Trafficking Offense	A	ctive	Inter	mediate	Com	munity	Active Sentence	Active Sentence	Total
		#	%	#	%	#	%	(months)	(months)	
	Traffic in MDA/MDMA	6	67	3	33			28	33	9
	Consp. Traffic MDA/MDMA	5	83	1	17			31	37	6
	Traffic Marijuana	4	57	1	14	2	29	28	33	7
G	Traffic Cocaine	106	86	12	10	5	4	33	40	123
G	Traffic Heroin	2	100					41	50	2
	Consp. Traffic Cocaine	14	82	1	6	2	12	32	39	17
	Other Trafficking	111	77	20	14	13	9	31	40	144
	Subtotal	248	81	38	12	22	7	32	40	308
	Traffic Marijuana	26	87	1	3	3	10	24	29	30
	Traffic Cocaine	1	100					35	42	1
	Consp. Traffic Marijuana	4	57			3	43	22	27	7
Н	Consp. Traffic Cocaine	•		1	100			6	8	1
	Consp. Traffic Heroin			2	100			10	12	2
	Other Trafficking	22	71	4	13	5	16	23	28	31
	Subtotal	53	74	8	11	11	15	23	28	72
I	Traffic Heroin			1	100	•		70	84	1
1	Subtotal	•	•	1	100	•	•	70	84	1
ТОТ	ſAL	549	80	95	14	43	6	51	63	687

### Table 15: Drug Trafficking Convictions (continued)FY 2010/11 Felonies

Note: This table reflects all drug trafficking convictions regardless of whether the offense classes are correct or whether the sentences are consistent with the minimum and maximum sentences set forth in N.C.G.S. §90-95(h). For example, according to statute, an offender can only be convicted of trafficking cocaine in Classes D, F, and G; however, in the table, there are Class H convictions for trafficking cocaine. Further, there are Class I convictions for trafficking heroin. Non-active sentences and shorter than mandatory active sentences may reflect the use of the substantial assistance provision or incorrect offense identification.

### **SECTION II**

### MISDEMEANOR CONVICTIONS IN FISCAL YEAR 2010/11

#### I. MISDEMEANOR CONVICTIONS IN FY 2010/11

#### A. Definition of the Unit of Analysis

The unit of analysis for this report is convictions disposed of in a sentencing episode.

#### **Definition of a Sentencing Episode:**

A *sentencing episode* is identified from court records as the sentence imposed for the *most serious conviction* on a given day of court. While a sentencing episode involves one offender, in our reporting time frame an offender may be represented by more than one sentencing episode (meaning that within the fiscal year the number of offenders will be the same as or less than the number of sentencing episodes reported). For the sake of simplicity, throughout the report the unit of analysis is referred to as "conviction."

#### **B.** Misdemeanor Convictions

This section contains detailed information about misdemeanor convictions and sentences imposed under Structured Sentencing during Fiscal Year 2010/11 (July 1, 2010, through June 30, 2011). Overall, sentences for 150,810 misdemeanor convictions were imposed. For many of the tables, information on 3,836 convictions (or 2.5%) was excluded due to data discrepancies. Such exclusions are noted at the end of each table.

The following categories of misdemeanor convictions are excluded from this report:

- 1) Misdemeanor Driving While Impaired offenses (since they are not governed by Structured Sentencing laws);
- 2) Cases disposed of by a magistrate;
- 3) Class 2 and 3 criminal traffic offenses; and
- 4) Local ordinance offenses.

#### C. Distribution of Convictions within the Misdemeanor Punishment Chart

*Table 16* shows the distribution of convictions within the Misdemeanor Punishment Chart. Relative to other cells within the Misdemeanor Punishment Chart, the greatest number of convictions fell into Offense Class 1 with Prior Conviction Level II (n=34,784 or 24%).

Offense	Prio	r Conviction L	.evel	
Class	I 0 Points	II 1-4 Points	III 5+ Points	Total
A1	3,072	5,228	4,373	12,673
	(2.1%)	(3.5%)	(3.0%)	(8.6%)
1	28,873	34,784	22,454	86,111
	(19.6%)	(23.7%)	(15.3%)	(58.6%)
2	9,848	9,146	4,165	23,159
	(6.7%)	(6.2%)	(2.8%)	(15.8%)
3	11,983	8,471	4,577	25,031
	(8.1%)	(5.8%)	(3.1%)	(17.0%)
TOTAL	53,776	57,629	35,569	146,974
	(36.6%)	(39.2%)	(24.2%)	(100.0%)

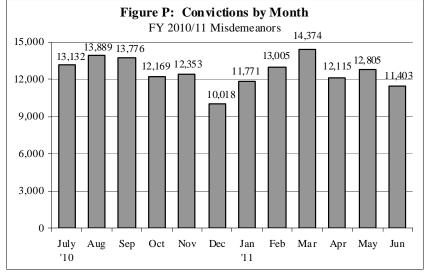
Table 16: Distribution of Convictions within the Misdemeanor Punishment ChartFY 2010/11 Misdemeanors

Note: Of the 150,810 misdemeanor convictions in FY 2010/11, 3,836 (2.5%) were excluded from this table. These convictions did not fit within the appropriate cell in the Misdemeanor Punishment Chart due to discrepant offense classes, prior conviction levels, or for other reasons. Percentages may not add to 100% due to rounding.

Source: NC Sentencing and Policy Commission, FY 2010/11 Statistical Report Data

#### D. Convictions by Month of Sentencing

Figure P shows the number of misdemeanor convictions (N=150,810) by month of sentencing during Fiscal Year 2010/11. Convictions peaked in March and were lowest in December.



Source: NC Sentencing and Policy Commission, FY 2010/11 Statistical Report Data

#### E. Convictions by Judicial District and County

*Table 17* shows the number of misdemeanor convictions by judicial district and county. The table also indicates whether a conviction occurred in District or Superior Court and whether it resulted from a guilty plea, a bench trial, or a jury trial.<sup>5</sup> See *Appendix A* for a map of judicial districts. In FY 2010/11, there were 142,651 guilty pleas/bench trials in District Court, 7,977 guilty pleas in Superior Court, and 182 jury trials in Superior Court.

		District Court	Superio	or Court	
Judicial Dist	trict and County	Guilty Plea/ Bench Trial	Guilty Plea	Jury Trial	Total
District 1	Camden	92	4	0	96
	Chowan	284	10	0	294
	Currituck	338	58	0	396
	Dare	600	62	1	663
	Gates	155	13	0	168
	Pasquotank	813	65	0	878
	Perquimans	219	18	0	237
	Total	2,501	230	1	2,732
District 2	Beaufort	1,155	77	2	1,234
	Hyde	112	5	4	121
	Martin	579	38	2	619
	Tyrrell	92	6	0	98
	Washington	295	21	2	318
	Total	2,233	147	10	2,390
District 3A	Pitt	2,769	134	6	2,909
	Total	2,769	134	6	2,909
District 3B	Carteret	1,188	55	0	1,243
	Craven	1,903	90	0	1,993
	Pamlico	195	8	0	203
	Total	3,286	153	0	3,439
District 4	Duplin	922	145	2	1,069
	Jones	197	24	0	221
	Onslow	2,099	161	4	2,264
	Sampson	1,487	19	1	1,507
	Total	4,705	349	7	5,061
District 5	New Hanover	3,400	139	1	3,540
	Pender	564	27	2	593
	Total	3,964	166	3	4,133

### Table 17: Convictions by Judicial District, County, and Mode of DispositionFY 2010/11 Misdemeanors

<sup>&</sup>lt;sup>5</sup> For misdemeanor convictions in Superior Court, see N.C.G.S. § 7A-271(a).

		District Court	Superio	or Court	
Judicial Di	istrict and County	Guilty Plea/ Bench Trial	Guilty Plea	Jury Trial	Total
District 6A	Halifax	1,038	108	0	1,146
	Total	1,038	108	0	1,146
District 6B	Bertie	412	24	1	437
	Hertford	581	84	1	666
	Northampton	380	27	0	407
	Total	1,373	135	2	1,510
District 7	Edgecombe	1,237	139	0	1,376
	Nash	1,773	190	2	1,965
	Wilson	1,242	153	3	1,398
	Total	4,252	482	5	4,739
District 8	Greene	337	59	0	396
	Lenoir	1,642	249	2	1,893
	Wayne	2,382	158	7	2,547
	Total	4,361	466	9	4,836
District 9	Franklin	673	87	0	760
	Granville	841	135	2	978
	Vance	1,316	181	1	1,498
	Warren	257	71	1	329
	Total	3,087	474	4	3,565
District 9A	Caswell	363	45	3	411
	Person	803	49	1	853
	Total	1,166	94	4	1,264
District 10	Wake	11,441	268	9	11,718
	Total	11,441	268	9	11,718
District 11	Harnett	947	12	0	959
	Johnston	1,551	34	3	1,588
	Lee	845	64	0	909
	Total	3,343	110	3	3,456
District 12	Cumberland	3,407	232	1	3,640
	Total	3,407	232	1	3,640
District 13	Bladen	684	50	1	735
	Brunswick	1,331	82	1	1,414
	Columbus	1,054	47	2	1,103
	Total	3,069	179	4	3,252
District 14	Durham	4,260	51	7	4,318
	Total	4,260	51	7	4,318
District 15A	Alamance	2,457	137	3	2,597
	Total	2,457	137	3	2,597
District 15B	Chatham	636	26	0	662

## Table 17: Convictions by Judicial District, County, and Mode of Disposition (continued)FY 2010/11 Misdemeanors

		District Court	Superio	or Court	
Judicial Di	strict and County	Guilty Plea/ Bench Trial	Guilty Plea	Jury Trial	Total
District 15B	Orange	1,002	23	1	1,026
(cont.)	Total	1,638	49	1	1,688
District 16A	Hoke	801	41	1	843
	Scotland	1,273	49	1	1,323
	Total	2,074	90	2	2,166
District 16B	Robeson	4,284	97	1	4,382
	Total	4,284	97	1	4,382
District 17A	Rockingham	1,523	41	3	1,567
	Total	1,523	41	3	1,567
District 17B	Stokes	609	65	1	675
	Surry	1,250	61	0	1,311
	Total	1,859	126	1	1,986
District 18	Guilford	6,556	585	7	7,148
	Total	6,556	585	7	7,148
District 19A	Cabarrus	4,736	136	1	4,873
	Total	4,736	136	1	4,873
District 19B	Montgomery	468	28	2	498
	Randolph	2,055	111	3	2,169
	Total	2,523	139	5	2,667
District 19C	Rowan	3,099	132	1	3,232
	Total	3,099	132	1	3,232
District 19D	Moore	1,209	47	4	1,260
	Total	1,209	47	4	1,260
District 20A	Anson	425	131	2	558
	Richmond	788	85	1	874
	Stanly	683	153	2	838
	Total	1,896	369	5	2,270
District 20B	Union	1,087	212	5	1,304
	Total	1,087	212	5	1,304
District 21	Forsyth	6,370	82	21	6,473
	Total	6,370	82	21	6,473
District 22A	Alexander	372	22	0	394
	Iredell	2,052	100	0	2,152
	Total	2,424	122	0	2,546
District 22B	Davidson	2,676	101	1	2,778
	Davie	497	24	1	522
	Total	3,173	125	2	3,300
D	Alleghany	130	3	1	134
District 23	Ashe	305	13	0	318

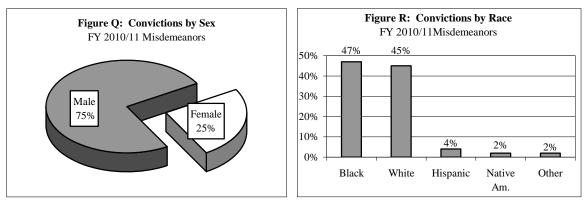
## Table 17: Convictions by Judicial District, County, and Mode of Disposition (continued)FY 2010/11 Misdemeanors

		District Court	Superio	or Court	
Judicial Dis	trict and County	Guilty Plea/ Bench Trial	Guilty Plea	Jury Trial	Total
District 23	Wilkes	1,114	31	0	1,145
(cont.)	Yadkin	574	7	0	581
	Total	2,123	54	1	2,178
District 24	Avery	193	22	0	215
	Madison	190	17	0	207
	Mitchell	198	19	0	217
	Watauga	518	44	3	565
	Yancey	222	24	1	247
	Total	1,321	126	4	1,451
District 25	Burke	1,291	151	4	1,446
	Caldwell	1,399	82	2	1,483
	Catawba	2,729	127	3	2,859
	Total	5,419	360	9	5,788
District 26	Mecklenburg	8,732	379	10	9,121
	Total	8,732	379	10	9,121
District 27A	Gaston	3,166	305	3	3,474
	Total	3,166	305	3	3,474
District 27B	Cleveland	2,246	82	3	2,331
	Lincoln	1,197	59	0	1,256
	Total	3,443	141	3	3,587
District 28	Buncombe	4,060	57	5	4,122
	Total	4,060	57	5	4,122
District 29A	McDowell	748	50	0	798
	Rutherford	1,778	80	0	1,858
	Total	2,526	130	0	2,656
District 29B	Henderson	1,626	23	2	1,651
	Polk	250	6	1	257
	Transylvania	419	13	2	434
	Total	2,295	42	5	2,342
District 30	Cherokee	281	12	1	294
	Clay	76	5	0	81
	Graham	98	10	0	108
	Haywood	874	33	2	909
	Jackson	462	26	1	489
	Macon	357	20	1	378
	Swain	255	10	0	265
	Total	2,403	116	5	2,524
STATE TOTAL		142,651	7,977	182	150,810

## Table 17: Convictions by Judicial District, County, and Mode of Disposition (continued)FY 2010/11 Misdemeanors

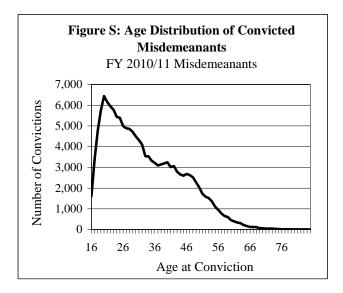
#### **II. CHARACTERISTICS OF OFFENDERS**

This section provides a summary of misdemeanor convictions by sex, race, and age of offenders. As indicated in *Figure Q*, the majority of misdemeanor convictions were for males (75%). *Figure R* shows that 47% of all misdemeanor offenders were Black and 45% were White. Only a small percentage were Hispanic (4%), Native American (2%), or Other (2%).



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Based on age at conviction, 15% of misdemeanor convictions were for offenders under the age of 21, 19% were 21-25 years of age, 13% were 26-29 years of age, 24% were 30-39 years of age, 19% were 40-49 years of age, and 10% were 50 years of age or older. The average age at conviction was 33 years. *Figure S* illustrates the age distribution of misdemeanor offenders at conviction. As with felons, the volume of offenders peaks in the late teens and early twenties and then generally declines. *Table 18* provides a summary of misdemeanor convictions by sex, race/ethnicity, and age of offenders.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Soy and I	Race/Ethnicity			Age at C	onviction			Total
Sex and I	Xace/Etimicity	<21	21-25	26-29	30-39	40-49	>49	Total
	White	7,600	8,962	6,203	11,313	8,775	5,041	47,894
	Black	8,091	10,571	6,625	12,309	10,712	6,708	55,016
Male	Hispanic	886	1,206	971	1,759	626	201	5,649
Wate	Native Amer.	257	407	334	684	389	218	2,289
	Other	293	279	203	413	264	126	1,578
	Subtotal	17,127	21,425	14,336	26,478	20,766	12,294	112,426
	White	2,277	3,478	2,571	5,150	3,519	1,381	18,376
	Black	2,285	3,404	2,227	3,952	2,855	1,185	15,908
Female	Hispanic	105	142	124	212	92	32	707
remate	Native Amer.	81	160	146	306	186	46	925
	Other	76	90	59	137	61	33	456
	Subtotal	4,824	7,274	5,127	9,757	6,713	2,677	36,372
Т	OTAL	21,951	28,699	19,463	36,235	27,479	14,971	148,798

## Table 18: Convictions by Sex, Race/Ethnicity, and AgeFY 2010/11 Misdemeanors

Note: Of the 150,810 misdemeanor convictions in FY 2010/11, 2,012 convictions with missing values for offenders' age were excluded from this table.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

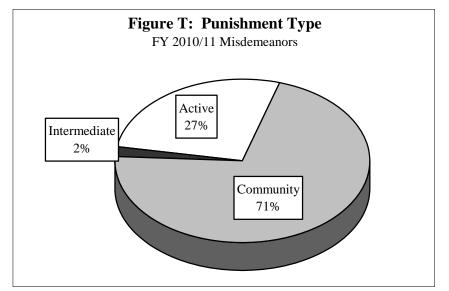
#### **III. PUNISHMENT IMPOSED FOR MISDEMEANORS**

This section summarizes information about the types of punishment imposed (Active, Intermediate, or Community) for misdemeanor convictions. It also shows the average sentence imposed and the average time to be served – adjusting for credit for time served – for misdemeanants who received an Active punishment.

#### A. Overall Punishment

As shown in *Figure T*, an Active punishment was imposed for 27% of misdemeanor convictions; an Intermediate punishment was imposed for 2% of misdemeanor convictions; and a Community punishment was imposed for 71% of misdemeanor convictions. Of the Active punishments

imposed, 90% were for sentences of 90 days or less, with the remaining 10% for sentences greater than 90 days.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### B. Punishment Imposed by Offense Class and Prior Conviction Level

Misdemeanor convictions and sentences imposed are presented within the context of the Misdemeanor Punishment Chart in *Table 19*. Most convictions – regardless of the offense class or prior conviction level – resulted in the imposition of a Community punishment. Nine percent of misdemeanor convictions fell into Offense Class A1, 58% fell into Offense Class 1, 16% fell into Offense Class 2, and 17% fell into Offense Class 3. Thirty-seven percent of misdemeanor conviction Level I, 39% fell into Prior Conviction Level II, and 24% fell into Prior Conviction Level III.

Convictions for Class A1 misdemeanors accounted for the largest percentage of Active sentences imposed relative to other offense classes (33%). The majority of convictions fell into Offense Class 1 with Prior Conviction Level II (n=34,784). The likelihood of receiving an Active punishment increased as prior conviction level increased.

	Рі	rior Conviction Lev	vel	
Offense Class	Ι	II	III	Total
	0 Points	1-4 Points	5+ Points	
	C/I/A	C/I/A	C/I/A	C/I/A
	C = 2,234 (73%)	C = 3,474 (66%)	C = 1,947 (44%)	C = 7,655 (61%)
	I = 124 (4%)	I = 294 (6%)	I = 375 (9%)	I = 793 (6%)
A1	A = 714 (23%)	A = 1,460 (28%)	A = 2,051 (47%)	A = 4,225 (33%)
	(n=3,072)	(n=5,228)	(n=4,373)	(n=12,673)
	31.2 days	48.5 days	98.0 days	69.6 days
	С	C/I/A	C/I/A	C/I/A
	C = 24,146 (83%)	C = 25,813 (74%)	C = 12,142 (54%)	C = 62,101 (72%)
1	I = 174 (1%)	I = 864 (3%)	I = 1,225 (5%)	I = 2,263 (3%)
1	A = 4,553 (16%)	A = 8,107 (23%)	A = 9,087 (41%)	A = 21,747 (25%)
	(n=28,873)	(n=34,784)	(n=22,454)	(n=86,111)
	20.4 days	20.4 days 25.9 days 62.7 days		40.1 days
	С	C/I	C/I/A	C/I/A
	C = 7,923 (81%)	C = 6,613 (72%)	C = 2,164 (52%)	C = 16,700 (72%)
2	I = 22 (0%)	I = 112 (1%)	I = 90 (2%)	I = 224 (1%)
4	A = 1,903 (19%)	A = 2,421 (27%)	A = 1,911 (46%)	A = 6,235 (27%)
	(n=9,848)	(n=9,146)	(n=4,165)	(n=23,159)
	14.0 days	20.0 days	30.3 days	21.3 days
	С	C/I	C/I/A	C/I/A
	C = 9,165 (77%)	C = 6,435 (76%)	C = 2,161 (47%)	C = 17,761 (71%)
3	I = 5 (0%)	I = 52 (1%)	I = 47 (1%)	I = 104 (0%)
č	A = 2,813 (23%) (n=11,983)	A = 1,984 (23%) (n=8,471)	A = 2,369 (52%) (n=4,577)	A = 7,166 (29%) (n=25,031)
	(II-11,965)	(11-0,4/1)	(11-4,377)	(11-23,031)
	5.2 days	8.0 days	10.0 days	7.6 days
	C/I/A	C/I/A	C/I/A	C/I/A
	C = 43,468 (81%)	C = 42,335 (74%)	C = 18,414 (52%)	C = 104,217 (71%)
TOTAL	I = 325 (1%)	I = 1,322 (2%)	I = 1,737 (5%)	I = 3,384 (2%)
	A = 9,983 (18%)	A = 13,972 (24%)	A = 15,418 (43%)	A = 39,373 (27%)
	(n=53,776)	(n=57,629)	(n=35,569)	(n=146,974)
	15.7 days	24.7 days	55.3 days	34.4 days

### Table 19: Convictions and Sentences by Offense Class and Prior Conviction LevelFY 2010/11 Misdemeanors

Note: Of the 150,810 misdemeanor convictions in FY 2010/11, 3,836 (2.5%) were excluded from this table. These convictions did not fit within the appropriate cell in the Misdemeanor Punishment Chart due to discrepant offense classes, prior conviction levels, or for other reasons. Active sentences in cells in which an Active punishment is not a sentencing option may be attributed to the use of credit for time served.

#### C. Average Length of Active Sentences

Information on the average sentence imposed, average credit for time served, and average time to be served for misdemeanor convictions resulting in an Active punishment is presented in *Table 20*. Time to be served is calculated by subtracting credit for time served from the Active sentence imposed. The length of Active sentences imposed and time to be served were found to increase as offense class and prior conviction level increased. The longest average time to be served was for Class A1 misdemeanors (44 days). Overall, the average time to be served for misdemeanor convictions was 21 days. Sixty-seven percent of offenders with Active sentences received credit for time served.

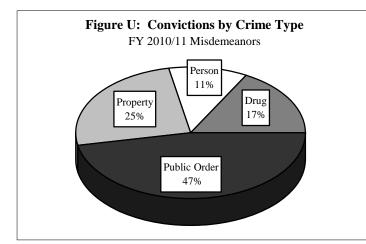
		Prio	r Conviction I	Level	
	Offense Class	I	II	III	Total
		0 Points	1-4 Points	5+ Points	
	Average Active Sentence (Days)	31.2	48.5	98.0	69.6
A1	Average Credit for Time Served (Days)	20.9	23.9	28.3	25.5
	Average Time to be Served (Days)	10.3	24.5	69.7	44.1
	No. of Active Sentences	714	1,460	2,051	4,225
	Average Active Sentence (Days)	20.4	25.9	62.7	40.1
1	Average Credit for Time Served (Days)	14.0	12.5	15.9	14.2
	Average Time to be Served (Days)	6.4	13.5	46.8	25.9
	No. of Active Sentences	4,553	8,107	9,087	21,747
	Average Active Sentence (Days)	14.0	20.0	30.3	21.3
2	Average Credit for Time Served (Days)	11.2	12.4	13.7	12.5
	Average Time to be Served (Days)	2.8	7.6	16.6	8.9
	No. of Active Sentences	1,903	2,421	1,911	6,235
	Average Active Sentence (Days)	5.2	8.0	10.0	7.6
3	Average Credit for Time Served (Days)	4.5	5.9	5.0	5.1
	Average Time to be Served (Days)	0.7	2.1	4.9	2.5
	No. of Active Sentences	2,813	1,984	2,369	7,166
	Average Active Sentence (Days)	15.7	24.7	55.3	34.4
TOTAL	Average Credit for Time Served (Days)	11.3	12.7	15.6	13.5
IUIAL	Average Time to be Served (Days)	4.4	12.0	39.7	20.9
	No. of Active Sentences	9,983	13,972	15,418	39,373

### Table 20: Average Length of Active Sentences by Offense Class and Prior Conviction Level FY 2010/11 Misdemeanors

Note: Convictions that did not fit within the appropriate cell in the Misdemeanor Punishment Chart due to discrepant offense classes, prior conviction levels, or for other reasons were excluded from this table.

#### **D.** Punishment Imposed by Crime Type

As shown in *Figure U*, public order offenses (47%) accounted for the majority of misdemeanor convictions, while misdemeanor person offenses accounted for the lowest proportion (11%).



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

*Table 21* contains information about the type of punishment imposed by crime type for misdemeanor convictions, as well as the average minimum sentence imposed and average time to be served for offenders who received an Active punishment. Of the convictions for the four crime types, those for drug offenses were least likely to result in an Active punishment (21%). Twenty-eight percent of convictions for property offenses and 27% of convictions for public order offenses resulted in an Active punishment, as did 31% of person offenses. The average minimum sentence imposed and average time to be served were longest for convictions for person offenses.

		]	<b>Type of Pur</b>	nishmer	nt		Minimum	Credit for	Time to be	
Crime Type	Active		Intermediate		Community		Active Sentence	Time Served	Served	Total
	#	%	#	%	#	%	(Days)	(Days)	(Days)	
Person	4,795	31	743	5	9,853	64	58.5	23.0	35.5	15,391
Property	10,277	28	1,011	3	25,589	69	38.9	16.3	22.6	36,877
Drug	5,308	21	522	2	18,970	77	26.9	11.5	15.4	24,800
Public Order	18,993	27	1,108	2	49,805	71	27.9	10.1	17.8	69,906
TOTAL	39,373	27	3,384	2	104,217	71	34.4	13.5	20.9	146,974

### Table 21: Punishment by Crime TypeFY 2010/11 Misdemeanors

Note: Of the 150,810 misdemeanor convictions in FY 2010/11, 3,836 (2.5%) were excluded from this table. These convictions did not fit within the appropriate cell in the Misdemeanor Punishment Chart due to discrepant offense classes, prior conviction levels, or for other reasons.

#### **IV. INTERMEDIATE PUNISHMENTS**

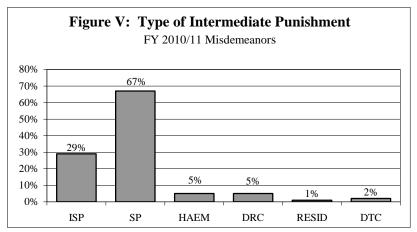
This section provides a summary of the types of intermediate sanctions imposed. *It only includes convictions for which an Intermediate punishment was imposed at sentencing.*<sup>6</sup> Under Structured Sentencing, all offenders who receive an Intermediate punishment must receive a term of supervised probation plus at least one additional sanction. The types of intermediate sanctions include Special Probation (SP), House Arrest with Electronic Monitoring (HAEM), Intensive Supervision Probation (ISP), Day Reporting Center (DRC), Residential Treatment Facility (RESID), and Drug Treatment Court (DTC). See *Appendix E* for a brief description of each sanction.

During Fiscal Year 2010/11, there were 3,384 convictions for which an Intermediate punishment was imposed. One or more specific intermediate sanctions were reported for 3,319 of these convictions (a reporting rate of 98%).

It is possible that a single conviction could result in the imposition of more than one intermediate sanction. *Consequently, the following tables and figures reflect the total number of intermediate sanctions imposed, not the total number of convictions for which an intermediate sanction was imposed.* 

#### A. Intermediate Punishments Imposed

*Figure V* shows the percentage of convictions for which each intermediate sanction was imposed. Where a specific intermediate sanction was reported in the AOC database, data indicated that Special Probation accounted for the highest percentage of convictions (67%). Assignment to a Residential Treatment Facility accounted for the smallest percentage of convictions for which a specific intermediate sanction was reported (1%).



Note: The percentages in this figure do not add to 100%.

<sup>&</sup>lt;sup>6</sup> Intermediate punishments that are subsequently imposed as a result of modifications to probation are not included.

#### **B.** Intermediate Punishments by Offense Class and Prior Conviction Level

*Table 22* contains the frequency of intermediate sanctions by offense class and prior conviction level, with the greatest number of intermediate sanctions imposed for Class 1 with Prior Conviction Level III (n=1,328). Overall, intermediate sanctions were most frequently imposed for Class 1 convictions (n=2,410). Convictions for Class A1 offenses resulted in the longest average probation sentences (20 months).

	Prior		Туре	of Intermo	ediate Punis	shment		
Offense Class	Conviction Level	Special Probation	Residential Facility	Day Reporting Center	Drug Treatment Court	Intensive Supervision Probation	House Arrest w/ Elect. Mon.	Total
	Ι	86	0	7	0	26	5	124
	п	213	0	8	0	77	11	309
A1	III	264	6	6	2	112	20	410
	Subtotal	563 19 months	6 22 months	21 20 months	2 24 months	215 24 months	36 24 months	843 20 months
	Ι	96	4	23	3	45	4	175
	п	516	4	55	19	277	36	907
1	III	814	7	40	38	365	64	1,328
	Subtotal	1,426 18 months	15 19 months	118 18 months	60 22 months	687 22 months	104 21 months	2,410 19 months
	Ι	14	0	4	0	2	2	22
	п	81	1	8	1	23	5	119
2	III	66	0	6	0	22	4	98
	Subtotal	161 16 months	1 24 months	18 14 months	1 18 months	47 20 months	11 22 months	239 17 months
	Ι	3	0	2	0	0	0	5
2	Π	32	0	11	0	9	2	54
3	III	31	0	7	2	9	0	49
	Subtotal	66 14 months	0 N/A	20 12 months	2 15 months	18 16 months	2 15 months	108 14 months
TOTAL		2,216 18 months	22 20 months	<b>177</b> 17 months	65 22 months	<b>967</b> 22 months	<b>153</b> 22 months	<b>3,600</b> 20 months

### Table 22: Type of Intermediate Punishment by Offense Class and Prior Conviction Level FY 2010/11 Misdemeanors

#### C. Intermediate Punishments by Crime Type

*Table 23* shows the frequency of specific intermediate sanctions by crime type. Of those convictions for which an intermediate sanction was reported, the majority were for public order offenses (n=1,163) and property offenses (n=1,080).

		Туре	of Intermed	liate Punish	ment		
Crime Type	Special Residential Probation Facility		DayDrugReporting CenterTreatment Court		Intensive Supervision Probation	House Arrest w/ Elect. Mon.	Total
Person	535	5	23	2	191	27	783
Property	594	9	67	22	348	40	1,080
Drug	250	5	58	32	208	21	574
Public Order	837	3	29	9	220	65	1,163
TOTAL	2,216	22	177	65	967	153	3,600

### Table 23: Type of Intermediate Punishment by Crime TypeFY 2010/11 Misdemeanors

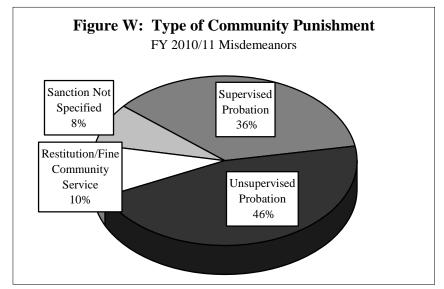
Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### V. COMMUNITY PUNISHMENTS

#### A. Community Punishments by Offense Class

*This section includes only those convictions for which a Community punishment was imposed.* Of the 104,217 convictions for which a Community punishment was imposed, the specific type of Community punishment imposed was reported for 95,785 convictions. Overall, 46% received Unsupervised Probation; 36% received Supervised Probation; 10% received only Restitution/Fine/Community Service without probation; and 8% had no specific sanction ordered (see *Figure W*).<sup>7</sup>

<sup>&</sup>lt;sup>7</sup> It is not clear why a specific Community punishment was not reported for 8% of the convictions in which a Community punishment was imposed. In some cases, the court may not have specified a sanction. In other instances, the court may have imposed a sanction for which there is no specific data field in the AOC's ACIS (*e.g.*, outpatient drug/alcohol treatment or referral to TASC).



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

*Table 24* shows the type of Community punishment imposed – either Supervised Probation, Unsupervised Probation, Restitution/Fine/Community Service, or Sanction Not Specified – by offense class, as well as information regarding the average length of probation sentences. Convictions for Class 1 offenses comprised the majority of convictions resulting in a Community punishment (n=62,101). Convictions for Class A1 offenses resulted in the longest average probation sentences (17 months).

Offense Class	(1				
	Supervised	Unsupervised	Restitution/Fine/ Community Service Only	Sanction Not Specified	Total
A1	4,925	2,168	115	447	7,655
	18 months	16 months	<i>N/A</i>	N/A	17 months
1	25,330	26,931	5,830	4,010	62,101
	16 months	14 months	<i>N/A</i>	<i>N/A</i>	15 months
2	4,596	8,872	1,678	1,554	16,700
	15 months	13 months	<i>N/A</i>	<i>N/A</i>	13 months
3	2,203	9,922	3,215	2,421	17,761
	13 months	12 months	<i>N/A</i>	<i>N/A</i>	12 months
TOTAL	37,054	47,893	10,838	8,432	104,217
	16 months	13 months	<i>N/A</i>	<i>N/A</i>	14 months

Table 24: Type of Community Punishment by Offense ClassFY 2010/11 Misdemeanors

Note: Convictions that did not fit within the appropriate cell in the Misdemeanor Punishment Chart due to discrepant offense classes, prior conviction levels, or for other reasons were excluded from this table. Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### **B.** Community Punishments by Crime Type

The type of Community punishment imposed by crime type and the average length of probation sentences are provided in *Table 25*. Public order offenses were the largest offense category for which Supervised Probation was imposed (n=13,986) followed by property offenses (n=11,936). The largest number sentenced to Unsupervised Probation were convicted of public order offenses (n=24,201).

Crime Type	(Nu				
	Supervised	Unsupervised	Restitution/Fine/ Community Service Only	Sanction Not Specified	Total
Person	5,418	3,541	240	654	9,853
	17 months	15 months	N/A	N/A	16 months
Property	11,936	10,672	1,465	1,516	25,589
	16 months	13 months	<i>N/A</i>	<i>N/A</i>	15 months
Drug	5,714	9,479	2,556	1,221	18,970
	15 months	13 months	<i>N/A</i>	<i>N/A</i>	14 months
Public Order	13,986	24,201	6,577	5,041	49,805
	15 months	13 months	<i>N/A</i>	<i>N/A</i>	14 months
TOTAL	37,054	47,893	10,838	8,432	104,217
	16 months	13 months	<i>N/A</i>	<i>N/A</i>	14 months

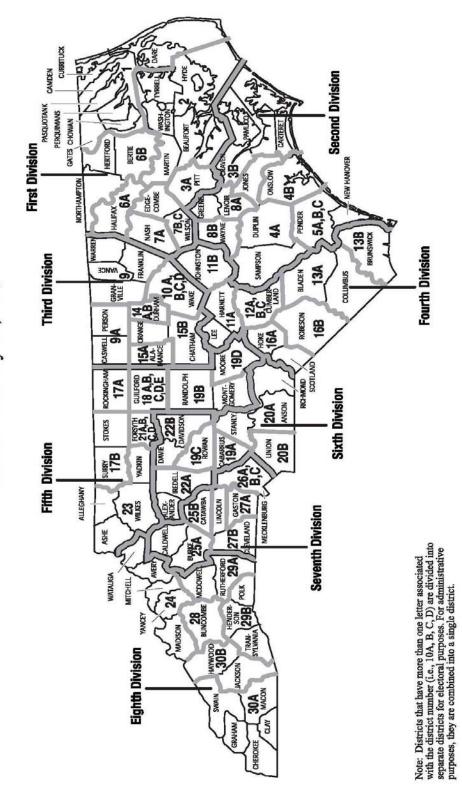
### Table 25: Type of Community Punishment by Crime TypeFY 2010/11 Misdemeanors

Note: Convictions that did not fit within the appropriate cell in the Misdemeanor Punishment Chart due to discrepant offense classes, prior conviction levels, or for other reasons were excluded from this table.

### **APPENDIX A**

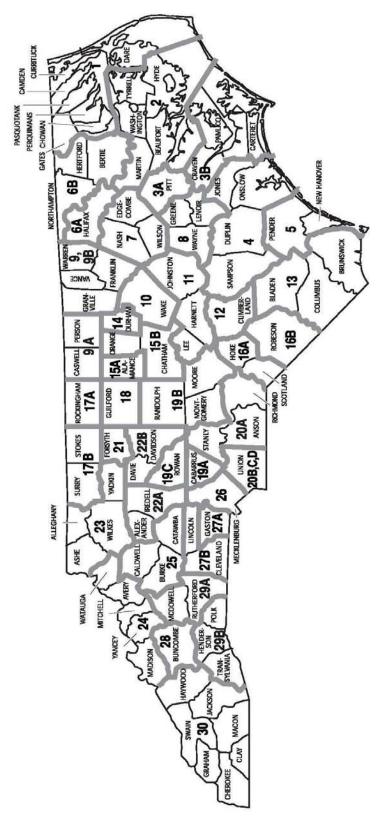
### MAP OF JUDICIAL DIVISIONS AND DISTRICTS

North Carolina Superior Court Effective January 15, 2009



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Note: Districts 9 and 9B, and districts 20B, 20C, and 20D are districts for electoral purposes only. They are combined for administrative purposes.

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## **APPENDIX B**

# SAMPLE OFFENSES

### CLASSIFICATION OF A SAMPLE OF OFFENSES (Effective 12/1/10)

CLASS A FELONIES	Maximum Punishment of Death or Life Without Parole
First-Degree Murder. (14-17)	
CLASS B1 FELONIES	Maximum Punishment of Life Without Parole
First-Degree Rape. (14-27.2)	First-Degree Sexual Offense. (14-27.4)
CLASS B2 FELONIES	Maximum Punishment of 481 Months
Second-Degree Murder. (14-17)	
CLASS C FELONIES	Maximum Punishment of 228 Months
Habitual Felon. (14-7.6)	Assault W/D/W/I/K/I/S/I. (14-32(a))
Second-Degree Rape. (14-27.3)	First-Degree Kidnapping. (14-39)
Second-Degree Sexual Offense. (14-27.5)	Embezzlement (amount involved \$100,000 or more). (14-90)
CLASS D FELONIES	Maximum Punishment of 201 Months
Voluntary Manslaughter. (14-18)	First-Degree Arson. (14-58)
Malicious Use of Explosive or Incendiary. (14-49(a))	Armed Robbery. (14-87)
First-Degree Burglary. (14-51)	Sell or Deliver a Controlled Substance to a Person Under 16 But More than 13 Years of Age. (90-95(e)(5))
CLASS E FELONIES	Maximum Punishment of 85 Months
Intercourse and Sexual Offenses with Certain Victims (Substitute Parent/Custodian). (14-27.7)	Second-Degree Kidnapping. (14-39)
Assault W/D/W/I/S/I. (14-32(b))	Child Abuse Inflicting Serious Physical Injury. (14-318.4(a))
Assault W/D/W/I/K. (14-32(c))	Death by Vehicle. (20-141.4(a)(1))
Discharging Weapon Into Occupied Property. (14-34.1(a))	Sell or Deliver a Controlled Substance Within 1,000 Feet of a School. (90-95(e)(8))
Assault with a Firearm on a Law Enforcement Officer. (14-34.5)	
CLASS F FELONIES	Maximum Punishment of 50 Months
Involuntary Manslaughter. (14-18)	Burning of Certain Other Buildings. (14-62)
Assault Inflicting Serious Bodily Injury. (14-32.4)	Participating in Prostitution of a Minor. (14-190.19)
Assault W/D/W on Governmental Officer or Employee.	Taking Indecent Liberties with Children. (14-202.1)
(14-34.2) Assault I/S/I on a Law Enforcement Officer. (14-34.7)	Possess Weapon of Mass Destruction. (14-288.8)
Felonious Restraint. (14-43.3)	Habitual Impaired Driving. (20-138.5)
CLASS G FELONIES	Maximum Punishment of 38 Months
Second-Degree Burglary. (14-51)	Possession of Firearms, etc., by Felon. (14-415.1)
Second-Degree Arson. (14-58)	Sale of a Schedule I or II Controlled Substance. (90-95(a)(1))
Common Law Robbery. (14-87.1)	
CLASS H FELONIES	Maximum Punishment of 30 Months
Habitual Misdemeanor Assault. (14-33.2)	Obtaining Property by False Pretenses (amount involved less than \$100,000). (14-100)
Breaking or Entering Buildings (w/felonious intent). (14-54(a))	Intimidating/Interfering with Witnesses. (14-226)
Fraudulently Setting Fire to Dwelling Houses. (14-65)	Hit and Run (resulted in injury). (20-166(a1))
Possessing Stolen Goods. (14-71.1)	Sale of a Schedule III, IV, V, or VI Controlled Substance. (90-95(a)(1))
Larceny of Property (worth more than \$1,000). (14-72)	Possession W/I/M/S/D Cocaine. (90-95(a)(1))
Embezzlement (amount involved less than \$100,000). (14-90)	Escaping From State Prison System (felon). (148-45(b))

CLASS I FELONIES	Maximum Punishment of 15 Months						
Breaking or Entering Motor Vehicles. (14-56)	Possession W/I/M/S/D Marijuana. (90-95(a)(1))						
Financial Transaction Card Theft. (14-113.9)	Possess Cocaine. (90-95(a)(3))						
Forgery of Notes, Checks, Securities. (14-119(a))	Maintain Dwelling or Motor Vehicle for Keeping or Selling a						
	Controlled Substance. (90-108(a)(7), (b))						
Uttering Forged Paper or Instrument. (14-120)	Obtain a Controlled Substance by Fraud. (90-108(a)(10), (b))						
CLASS A1 MISDEMEANORS	Maximum Punishment of 150 Days						
Assault Inflicting Serious Injury or Using a Deadly Weapon. (14-33(c)(1))	Assault on a State Officer or Employee. (14-33(c)(4))						
Assault on a Female. (14-33(c)(2))	Assault by Pointing a Gun. (14-34)						
Assault on a Child Under 12 Years of Age. (14-33(c)(3))	Violation of a Valid Protective Order. (50B-4.1(a))						
CLASS 1 MISDEMEANORS	Maximum Punishment of 120 Days						
Breaking or Entering Buildings. (14-54(b))	Willful and Wanton Injury to Real Property. (14-127)						
Larceny of Property (worth \$1,000 or less). (14-72)	Communicating Threats. (14-277.1)						
Unauthorized Use of a Motor Vehicle. (14-72.2)	Possession of Drug Paraphernalia. (90-113.22)						
Worthless Checks for \$2,000 or Less (closed account). (14-	Misrepresentation to Obtain Employment Security Benefits. (96-						
107(d)(4))	18(a))						
CLASS 2 MISDEMEANORS	Maximum Punishment of 60 Days						
Simple Assault/Assault and Battery. (14-33(a))	Failure to Return Rental Property. (14-167)						
Obtaining Property in Return for Worthless Check. (14-106)	Using Profane, Indecent or Threatening Language to Any Person Over Telephone. (14-196)						
Worthless Check for \$2,000 or Less. (14-107(d)(1))	Resisting Officers. (14-223)						
First-Degree Trespass. (14-159.12)	Carrying Concealed Weapons. (14-269(a), (a1)/first offense)						
Willful and Wanton Injury to Personal Property. (14-160(a))	Disorderly Conduct. (14-288.4)						
CLASS 3 MISDEMEANORS	Maximum Punishment of 20 Days						
Concealment of merchandise in mercantile establishments (first conviction). (14-72.1)	Operate Motorboat Without Lifesaving Device. (75A-6)						
Second-Degree Trespass. (14-159.13)	Possess Marijuana (1/2 ounce or less). (90-95(a)(3))						
Intoxicated and Disruptive in Public. (14-444)	Hunting Without a License. (113-270.2)						
Unsealed Wine/Liquor in Passenger Area. (18B-401)	Fishing Without a License. (113-271)						
CONSPIRACY to commit an offense is classified as one class lo	wer than the offense the person conspired to commit. (14-2.4)						
ATTEMPT to commit an offense is classified as one class lower	than the offense the person attempted to commit. (14-2.5)						
<b>SOLICITATION</b> to commit an offense is classified as two classe commit. (14-2.6)	es lower than the offense the person solicited the other person to						
ACCESSORY BEFORE THE FACT punishable as the principal felon. (14-5.2)							
	ower than the felony the principal felon committed. (14-7)						

## **APPENDIX C**

## ADDITIONAL FELONY DATA BY JUDICIAL DIVISION AND DISTRICT

Sentence Type and Average		]	Type of P	unishme	nt		Minimum	Maximum	
Length of Active Sentences by Judicial Division and		tive	Interm			nunity	Active Sentence	Active Sentence	Total
Judicial District	#	%	#	%	#	%	(Months)	(Months)	
First Judicial Division	936	38.9	1,075	44.7	393	16.3	27.9	36.0	2,404
District 1	108	31.7	151	44.3	82	24.0	25.8	33.0	341
District 2	161	34.5	238	51.0	68	14.6	31.4	40.5	467
District 3A	264	47.8	202	36.6	86	15.6	24.2	31.4	552
District 6A	61	31.1	108	55.1	27	13.8	33.5	43.0	196
District 6B	79	42.9	82	44.6	23	12.5	29.6	38.7	184
District 7A	111	46.8	91	38.4	35	14.8	28.8	36.9	237
District 7B,C	152	35.6	203	47.5	72	16.9	28.4	36.5	427
Second Judicial Division	1,239	40.4	1,265	41.3	561	18.3	27.8	35.8	3,065
District 3B	216	38.0	280	49.3	72	12.7	28.6	36.4	568
District 4A	204	40.8	175	35.0	121	24.2	29.7	37.9	500
District 4B	202	48.3	156	37.3	60	14.4	27.5	35.9	418
District 5	347	36.8	392	41.6	204	21.6	27.5	35.3	943
District 8A	140	43.9	149	46.7	30	9.4	19.6	25.7	319
District 8B	130	41.0	113	35.6	74	23.3	33.6	44.0	317
Third Judicial Division	1,976	46.5	1,602	37.7	667	15.7	25.2	32.6	4,245
District 9	212	47.6	162	36.4	71	16.0	17.9	22.8	445
District 9A	46	35.9	63	49.2	19	14.8	30.2	39.1	128
District 10	1,075	51.0	659	31.3	374	17.7	21.0	27.2	2,108
District 14	306	40.1	393	51.4	65	8.5	37.5	48.9	764
District 15A	190	38.9	204	41.7	95	19.4	30.8	39.4	489
District 15B	147	47.3	121	38.9	43	13.8	32.4	41.7	311

### Appendix C, Table 1: Convictions and Sentences by Judicial Division and District FY 2010/11 Felonies

Sentence Type and Average		Т	ype of Pu	ınishmen	ıt		Minimum	Maximum	
Length of Active Sentences by Judicial Division and	Act	ive	Interm	ediate	Comm	nunity	Active Sentence	Active Sentence	Total
Judicial District	#	%	#	%	#	%	(Months)	(Months)	
Fourth Judicial Division	1,328	39.7	1,401	41.8	620	18.5	30.7	39.6	3,349
District 11A	172	34.8	230	46.6	92	18.6	21.4	27.5	494
District 11B	164	33.1	219	44.2	113	22.8	27.5	35.7	496
District 12	442	43.9	373	37.0	192	19.1	31.4	40.5	1,007
District 13A	105	49.1	65	30.4	44	20.6	34.1	44.3	214
District 13B	119	33.1	147	40.8	94	26.1	27.8	36.1	360
District 16A	145	41.2	156	44.3	51	14.5	29.4	37.9	352
District 16B	181	42.5	211	49.5	34	8.0	41.5	53.6	426
Fifth Judicial Division	1,888	40.7	2,041	44.0	711	15.3	31.4	40.9	4,640
District 17A	122	32.6	196	52.4	56	15.0	33.8	43.6	374
District 17B	148	51.2	106	36.7	35	12.1	31.3	40.5	289
District 18	788	42.4	802	43.1	269	14.5	29.8	38.9	1,859
District 19B	83	24.7	194	57.7	59	17.6	29.3	38.1	336
District 19D	113	38.4	95	32.3	86	29.3	30.6	39.6	294
District 21	473	47.3	396	39.6	131	13.1	34.5	44.9	1,000
District 23	161	33.0	252	51.6	75	15.4	30.2	39.4	488
Sixth Judicial Division	1,083	36.0	1,323	44.0	604	20.1	31.7	41.1	3,010
District 19A	170	28.9	241	41.0	177	30.1	38.2	49.4	588
District 19C	170	32.6	254	48.8	97	18.6	28.4	37.2	521
District 20A	193	38.8	229	46.1	75	15.1	33.2	43.2	497
District 20B	114	40.9	110	39.4	55	19.7	31.0	40.2	279
District 22A	209	36.1	268	46.3	102	17.6	30.2	39.0	579
District 22B	227	41.6	221	40.5	98	17.9	29.5	38.2	546

### Appendix C, Table 1: Convictions and Sentences by Judicial Division and District (continued) FY 2010/11 Felonies

Sentence Type and Average		Т	ype of Pu	nishmen	ıt		Minimum	Maximum	
Length of Active Sentences by Judicial Division and	Act		Interm		Comm	·	Active Sentence	Active Sentence	Total
Judicial District	#	%	#	%	#	%	(Months)	(Months)	
Seventh Judicial Division	1,663	35.4	2,299	49.0	733	15.6	32.3	41.7	4,695
District 25A	136	30.4	223	49.9	88	19.7	25.6	33.1	447
District 25B	108	33.6	148	46.1	65	20.2	27.9	36.1	321
District 26	928	38.4	1,106	45.8	383	15.8	33.1	43.0	2,417
District 27A	253	33.3	422	55.6	84	11.1	27.0	34.5	759
District 27B	238	31.7	400	53.3	113	15.0	40.3	51.4	751
Eighth Judicial Division	759	37.8	946	47.2	301	15.0	29.9	38.1	2,006
District 24	106	28.8	179	48.6	83	22.6	27.1	34.5	368
District 28	284	45.2	273	43.5	71	11.3	31.8	40.7	628
District 29A	114	30.2	208	55.0	56	14.8	29.5	37.2	378
District 29B	126	41.6	134	44.2	43	14.2	26.7	34.3	303
District 30A	61	38.6	70	44.3	27	17.1	23.1	29.4	158
District 30B	68	39.8	82	48.0	21	12.3	38.7	49.1	171
STATE TOTAL	10,872	39.7	11,952	43.6	4,590	16.7	29.5	38.2	27,414

#### Appendix C, Table 1: Convictions and Sentences by Judicial Division and District (continued) FY 2010/11 Felonies

Note: Of the 29,446 felony convictions in FY 2010/11, 2,032 convictions were excluded from this table. These convictions did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation). Percentages may not add to 100% due to rounding.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Number and Percent of			Type of Pu	ınishment			
Active Sentences Falling Within Specified Range by	Mitiga	ted Range	Presumpt	ive Range	Aggravat	ed Range	Total
Judicial Division and Judicial District	#	%	#	%	#	%	
First Judicial Division	162	17.6	735	79.7	25	2.7	922
District 1	14	13.2	86	81.1	6	5.7	106
District 2	34	21.3	122	76.3	4	2.5	160
District 3A	68	26.0	189	72.1	5	1.9	262
District 6A	11	18.3	45	75.0	4	6.7	60
District 6B	18	23.7	58	76.3	0	0.0	76
District 7A	8	7.3	100	91.7	1	0.9	109
District 7B,C	9	6.0	135	90.6	5	3.4	149
Second Judicial Division	285	23.2	892	72.6	52	4.2	1,229
District 3B	47	21.9	159	74.0	9	4.2	215
District 4A	58	28.4	131	64.2	15	7.4	204
District 4B	28	14.1	166	83.4	5	2.5	199
District 5	69	20.1	262	76.4	12	3.5	343
District 8A	39	27.9	94	67.1	7	5.0	140
District 8B	44	34.4	80	62.5	4	3.1	128
Third Judicial Division	631	32.1	1,273	64.7	63	3.2	1,967
District 9	28	13.3	180	85.3	3	1.4	211
District 9A	6	13.0	37	80.4	3	6.5	46
District 10	363	34.0	676	63.3	29	2.7	1,068
District 14	116	37.9	178	58.2	12	3.9	306
District 15A	69	36.5	118	62.4	2	1.1	189
District 15B	49	33.3	84	57.1	14	9.5	147

### Appendix C, Table 2: Sentence Location by Judicial Division and District (Active Sentences Only) FY 2010/11 Felonies

Number and Percent of			Type of Pu	inishment			
Active Sentences Falling Within Specified Range by	Mitigat	ed Range	Presumpt	ive Range	Aggravat	ed Range	Total
Judicial Division and Judicial District	#	%	#	%	%	#	
Fourth Judicial Division	381	28.9	882	67.0	54	4.1	1,317
District 11A	48	27.9	122	70.9	2	1.2	172
District 11B	57	35.2	95	58.6	10	6.2	162
District 12	138	31.6	275	62.9	24	5.5	437
District 13A	21	20.2	76	73.1	7	6.7	104
District 13B	45	37.8	70	58.8	4	3.4	119
District 16A	36	25.2	104	72.7	3	2.1	143
District 16B	36	20.0	140	77.8	4	2.2	180
Fifth Judicial Division	656	35.1	1,101	58.8	114	6.1	1,871
District 17A	18	14.8	87	71.3	17	13.9	122
District 17B	47	32.2	95	65.1	4	2.7	146
District 18	216	27.6	529	67.5	39	5.0	784
District 19B	26	31.7	54	65.9	2	2.4	82
District 19D	29	25.9	74	66.1	9	8.0	112
District 21	271	58.2	167	35.8	28	6.0	466
District 23	49	30.8	95	59.7	15	9.4	159
Sixth Judicial Division	326	30.2	713	66.1	39	3.6	1,078
District 19A	78	45.9	87	51.2	5	2.9	170
District 19C	43	25.6	119	70.8	6	3.6	168
District 20A	58	30.1	128	66.3	7	3.6	193
District 20B	27	23.7	86	75.4	1	0.9	114
District 22A	51	24.6	147	71.0	9	4.3	207
District 22B	69	30.5	146	64.6	11	4.9	226

### Appendix C, Table 2: Sentence Location by Judicial Division and District (continued) (Active Sentences Only) FY 2010/11 Felonies

Number and Percent of			Type of P	unishment			
Active Sentences Falling Within Specified Range by	Mitigate	ed Range	Presumpt	ive Range	Aggrava	ted Range	Total
Judicial Division and Judicial District	#	%	#	%	#	%	
Seventh Judicial Division	332	20.2	1,187	72.1	127	7.7	1,646
District 25A	26	19.3	105	77.8	4	3.0	135
District 25B	18	16.8	88	82.2	1	0.9	107
District 26	200	21.7	631	68.6	89	9.7	920
District 27A	35	14.1	189	76.2	24	9.7	248
District 27B	53	22.5	174	73.7	9	3.8	236
Eighth Judicial Division	181	24.1	542	72.1	29	3.9	752
District 24	19	18.1	81	77.1	5	4.8	105
District 28	91	32.2	183	64.7	9	3.2	283
District 29A	15	13.4	89	79.5	8	7.1	112
District 29B	31	24.6	95	75.4	0	0.0	126
District 30A	10	16.9	46	78.0	3	5.1	59
District 30B	15	22.4	48	71.6	4	6.0	67
STATE TOTAL	2,954	27.4	7,325	67.9	503	4.7	10,782

#### Appendix C, Table 2: Sentence Location by Judicial Division and District (continued) (Active Sentences Only) FY 2010/11 Felonies

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table. In addition, 3 death sentences and 87 convictions with life sentences were excluded from this table. Percentages may not add to 100% due to rounding.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

## **APPENDIX D**

## ADDITIONAL FELONY DATA BY CRIME TYPE AND OFFENSE

		Ту	pe of Pu	nishmer	nt		Minimum	Maximum	
Crime Type		tive	Interm			nunity	Active Sentence	Active Sentence	Total
	#	%	#	%	#	%	(Months)	(Months)	
Person	3,083	63.8	1,660	34.3	92	1.9	54.9	71.4	4,835
1 <sup>st</sup> Degree Murder	90	100.0				•			90
2 <sup>nd</sup> Degree Murder	199	100.0				•	174.6	218.8	199
Attempted Murder	17	100.0					203.4	253.5	17
Voluntary Manslaughter	68	95.8	3	4.2		•	69.9	92.9	71
Involuntary Manslaughter	31	63.3	18	36.7		•	18.9	23.0	49
Felony Death by Vehicle	28	66.7	14	33.3		•	32.4	48.1	42
Armed Robbery	449	100.0					64.1	86.3	449
Att. Consp. Armed Robbery	144	55.2	117	44.8		•	41.1	58.6	261
Common Law Robbery	353	54.3	297	45.7		•	14.8	18.1	650
Att. Common Law Robbery	60	58.8	32	31.4	10	9.8	9.2	11.3	102
Other Robbery	27	34.6	47	60.3	4	5.1	20.0	27.7	78
AWDWIKISI	74	100.0					81.3	106.9	74
AWDW Intent to Kill	21	38.2	34	61.8		•	32.9	48.7	55
ADWD Serious Injury	211	54.5	176	45.5		•	29.5	44.7	387
Assault Govt. Official	50	64.9	26	33.8	1	1.3	20.8	26.3	77
Assault by Strangulation	69	46.3	69	46.3	11	7.4	8.8	10.9	149
Other Felony Assaults	110	43.5	141	55.7	2	0.8	20.9	26.6	253
1 <sup>st</sup> Degree Rape	80	100.0					245.4	303.7	80
Attempted 1 <sup>st</sup> Degree Rape	18	100.0					133.3	169.2	18
2 <sup>nd</sup> Degree Rape	82	100.0					78.4	103.3	82
Attempted 2 <sup>nd</sup> Degree Rape	28	100.0					59.5	80.9	28
Statutory Rape/Sex Offense Against 13, 14, 15	47	100.0					172.6	216.4	47
Indecent Liberties with Child	243	48.0	263	52.0			16.2	19.8	506
Crime Against Nature	11	16.4	18	26.9	38	56.7	46.9	58.3	67

### Appendix D, Table 1: Type of Punishment by Crime Type and Offense FY 2010/11 Felonies

		J	Гуре of Pu	nishmen	t		Minimum	Maximum		
Crime Type	Act	tive	Interm	ediate	Comm	nunity	Active Sentence	Active Sentence	Total	
	#	%	#	%	#	%	(Months)	(Months)		
Sexual Exploitation of Minor	23	40.4	27	47.4	7	12.3	19.5	29.6	57	
Child Abuse	35	48.6	37	51.4			42.5	59.3	72	
Registered Sex Off. Offenses	154	51.3	142	47.3	4	1.3	17.8	21.9	300	
Other Sex Crimes	137	63.1	71	32.7	9	4.1	44.3	56.9	217	
Kidnap/Abduction	152	66.7	76	33.3			46.5	64.1	228	
Att./Consp. Kidnapping			1	100.0					1	
All Other Person Felonies	72	55.8	51	39.5	6	4.7	19.6	24.0	129	
Property	3,714	35.8	4,870	47.0	1,784	17.2	11.8	15.0	10,368	
1 <sup>st</sup> Degree Burglary	93	100.0				•	67.3	90.2	93	
Att. 1 <sup>st</sup> Degree Burglary	18	50.0	18	50.0			27.9	42.8	36	
2 <sup>nd</sup> Degree Burglary	76	47.5	84	52.5			14.6	17.9	160	
Breaking/Entering	1,589	38.6	1,857	45.1	673	16.3	9.7	12.0	4,119	
Att. Breaking/Entering	13	18.1	29	40.3	30	41.7	6.7	8.5	72	
Larceny	797	37.6	1,006	47.5	317	15.0	10.0	12.3	2,120	
Attempted Larceny	16	25.8	21	33.9	25	40.3	6.9	8.8	62	
Possess/Receive Stolen Goods/Property	328	37.4	437	49.8	113	12.9	9.5	11.8	878	
1 <sup>st</sup> Degree Arson	10	100.0					62.4	84.3	10	
2 <sup>nd</sup> Degree Arson	16	45.7	19	54.3			15.3	18.7	35	
Other Arson/Burning	31	32.3	51	53.1	14	14.6	16.6	22.3	96	
Forgery	72	19.0	170	44.9	137	36.1	8.5	10.5	379	
Fraud	113	24.3	261	56.1	91	19.6	13.9	17.3	465	
Embezzlement	27	13.1	83	40.3	96	46.6	22.1	29.9	206	
Obtain Property by False Pretenses	431	33.5	668	51.9	189	14.7	10.4	12.9	1,288	
Attempt Obtain Property by False Pretenses	46	36.5	60	47.6	20	15.9	10.9	13.5	126	
All Other Property Felonies	38	17.0	106	47.5	79	35.4	9.2	11.4	223	

### Appendix D, Table 1: Type of Punishment by Crime Type and Offense (continued) FY 2010/11 Felonies

		Т	ype of Pu	nishmer	nt		Minimum	Maximum	
Crime Type	Act		Interm	ediate	Comm	nunity	Active Sentence	Active Sentence	Total
	#	%	#	%	#	%	(Months)	(Months)	
Non-Trafficking Drugs	1,899	22.4	4,084	48.1	2,501	29.5	12.1	15.3	8,484
Sell/Deliver Drugs	464	30.3	953	62.2	116	7.6	13.7	16.8	1,533
Consp. to Sell/Deliver Drugs	39	28.3	88	63.8	11	8.0	13.8	16.9	138
Manufacture Drugs	34	19.5	51	29.3	89	51.1	44.2	58.4	174
Possession with Intent	626	26.8	1,070	45.9	636	27.3	9.3	11.5	2,332
Drug Possession	349	12.2	1,231	43.0	1,285	44.9	7.2	9.1	2,865
Other Drug Felonies	387	26.8	691	47.9	364	25.2	16.2	21.2	1,442
Other Felony	2,176	58.4	1,338	35.9	213	5.7	40.1	52.1	3,727
Weapon Offense	541	42.0	723	56.1	25	1.9	17.5	22.4	1,289
Habitual Felon	721	100.0					85.0	111.3	721
Habitual Misd. Assault	68	63.0	39	36.1	1	0.9	12.2	15.0	108
Habitual Impaired Driving	298	97.4	8	2.6			16.6	20.2	306
Motor Vehicle Offenses	214	42.8	239	47.8	47	9.4	10.5	13.4	500
Crimes Against Admin. Just.	23	52.3	16	36.4	5	11.4	10.4	12.9	44
All Other Felonies	311	41.0	313	41.2	135	17.8	26.2	34.3	759
STATE TOTAL	10,872	39.7	11,952	43.6	4,590	16.7	29.5	38.2	27,414

### Appendix D, Table 1: Type of Punishment by Crime Type and Offense (continued) FY 2010/11 Felonies

Note: Of the 29,446 felony convictions in FY 2010/11, 2,032 convictions were excluded from this table. These convictions did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation). Percentages may not add to 100% due to rounding.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Number and Percent of			Type of Pu	ınishment			
Active Sentences Falling Within Specified Range by	Mitigat	ed Range	Presumpt	ive Range	Aggravat	ted Range	Total
Crime Type and Offense	#	%	#	%	#	%	
Person	886	29.6	1,922	64.2	185	6.2	2,993
2 <sup>nd</sup> Degree Murder	40	20.1	117	58.8	42	21.1	199
Attempted Murder	3	17.6	11	64.7	3	17.6	17
Voluntary Manslaughter	15	22.1	44	64.7	9	13.2	68
Involuntary Manslaughter	4	12.9	24	77.4	3	9.7	31
Felony Death by Vehicle	6	21.4	17	60.7	5	17.9	28
Armed Robbery	200	44.5	237	52.8	12	2.7	449
Att. Consp. Armed Robbery	42	29.2	100	69.4	2	1.4	144
Common Law Robbery	64	18.1	270	76.5	19	5.4	353
Att. Common Law Robbery	11	18.3	46	76.7	3	5.0	60
Other Robbery	7	25.9	20	74.1			27
AWDWIKISI	21	28.4	49	66.2	4	5.4	74
AWDW Intent to Kill	2	9.5	17	81.0	2	9.5	21
AWDW Serious Injury	50	23.7	153	72.5	8	3.8	211
Assault Govt. Official	8	16.0	41	82.0	1	2.0	50
Assault by Strangulation	14	20.3	49	71.0	6	8.7	69
Other Felony Assaults	28	25.5	70	63.6	12	10.9	110
1 <sup>st</sup> Degree Rape	20	25.0	54	67.5	6	7.5	80
Attempted 1 <sup>st</sup> Degree Rape	9	50.0	9	50.0			18
2 <sup>nd</sup> Degree Rape	25	30.5	49	59.8	8	9.8	82
Attempted 2 <sup>nd</sup> Degree Rape	17	60.7	11	39.3			28
Statutory Rape/Sex Offense Against 13, 14, 15	31	66.0	16	34.0			47
Indecent Liberties w/ Child	51	21.0	174	71.6	18	7.4	243
Crime Against Nature	1	9.1	10	90.9			11
Sexual Exploitation of Minor	5	21.7	17	73.9	1	4.3	23

### Appendix D, Table 2: Sentence Location by Crime Type and Offense (Active Sentences Only) FY 2010/11 Felonies

### Appendix D, Table 2: Sentence Location by Crime Type and Offense (continued) (Active Sentences Only) FY 2010/11 Felonies

Number and Percent of	Type of Punishment						
Active Sentences Falling Within Specified Range by	Mitigated Range		Presumpt	ive Range	Aggravated Range		Type of Punishment
Crime Type and Offense	#	%	#	%	#	%	
Person (cont.)							
Child Abuse	12	34.3	20	57.1	3	8.6	35
Registered Sex Off. Offenses	75	48.7	77	50.0	2	1.3	154
Other Sex Crimes	57	41.6	75	54.7	5	3.6	137
Kidnap/Abduction	43	28.3	101	66.4	8	5.3	152
All Other Person Felonies	25	34.7	44	61.1	3	4.2	72
Property	752	20.2	2,808	75.6	154	4.1	3,714
1 <sup>st</sup> Degree Burglary	36	38.7	57	61.3			93
Att. 1 <sup>st</sup> Degree Burglary	3	16.7	14	77.8	1	5.6	18
2 <sup>nd</sup> Degree Burglary	16	21.1	58	76.3	2	2.6	76
Breaking/Entering	250	15.7	1,257	79.1	82	5.2	1,589
Att. Breaking/Entering	5	38.5	8	61.5			13
Larceny	163	20.5	606	76.0	28	3.5	797
Attempted Larceny	5	31.3	11	68.8			16
Possess/Receive Stolen Goods/Property	79	24.1	235	71.6	14	4.3	328
1 <sup>st</sup> Degree Arson	5	50.0	5	50.0			10
2 <sup>nd</sup> Degree Arson	2	12.5	13	81.3	1	6.3	16
Other Arson/Burning	8	25.8	20	64.5	3	9.7	31
Forgery	20	27.8	49	68.1	3	4.2	72
Fraud	28	24.8	79	69.9	6	5.3	113
Embezzlement	10	37.0	17	63.0	•		27
Obtain Property by False Pretenses	104	24.1	316	73.3	11	2.6	431
Attempt Obtain Property by False Pretenses	10	21.7	34	73.9	2	4.3	46
All Other Property Felonies	8	21.1	29	76.3	1	2.6	38

Number and Percent of		Type of Punishment					
Active Sentences Falling Within Specified Range by	Mitigate	ed Range	Presumptive Range		Aggravated Range		Total
Crime Type and Offense	#	%	#	%	#	%	
Non-Trafficking Drug	406	21.4	1,405	74.0	88	4.6	1,899
Sell/Deliver Drugs	93	20.0	356	76.7	15	3.2	464
Consp. to Sell/Deliver Drugs	11	28.2	26	66.7	2	5.1	39
Manufacture Drugs	13	38.2	20	58.8	1	2.9	34
Possession with Intent	127	20.3	467	74.6	32	5.1	626
Drug Possession	92	26.4	233	66.8	24	6.9	349
All Other Drug Felonies	70	18.1	303	78.3	14	3.6	387
Other Felony	910	41.8	1,190	54.7	76	3.5	2,176
Weapon Offense	142	26.2	371	68.6	28	5.2	541
Habitual Felon	509	70.6	192	26.6	20	2.8	721
Habitual Misd. Assault	17	25.0	50	73.5	1	1.5	68
Habitual Impaired Driving	117	39.3	174	58.4	7	2.3	298
Motor Vehicle Offenses	37	17.3	173	80.8	4	1.9	214
Crimes Against Admin. Just.	9	39.1	14	60.9			23
All Other Felonies	79	25.4	216	69.5	16	5.1	311
STATE TOTAL	2,954	27.4	7,325	67.9	503	4.7	10,782

#### Appendix D, Table 2: Sentence Location by Crime Type and Offense (continued) (Active Sentences Only) FY 2010/11 Felonies

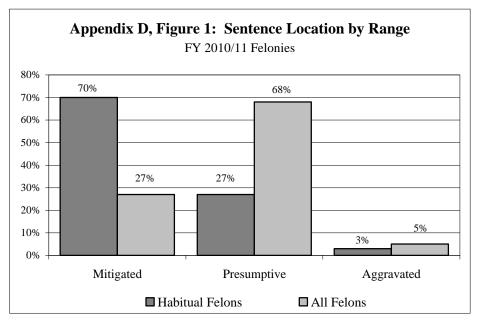
Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table. In addition, 3 death sentences and 87 convictions with life sentences were excluded from this table.

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Offense Class	% Mitigated	% Mitigated and Lowest Spot in Presumptive Range
B1	35	57
B2	25	37
С	59	70
-Habitual Felons Only	70	82
-Non-Habitual Felons Only	33	50
D	43	63
Е	22	47
F	32	62
G	22	54
Н	19	52
I	24	54
TOTAL	27	55

#### Appendix D, Table 3: Percentage of Sentences in the Mitigated Range and at the Lowest Spot of the Presumptive Range by Offense Class FY 2010/11 Felonies

Note: Convictions that did not fit within the appropriate cell in the Felony Punishment Chart due to discrepant offense classes, prior record levels, or for other reasons (such as consecutive sentencing or extraordinary mitigation) were excluded from this table.



Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

# **APPENDIX E**

# INTERMEDIATE AND COMMUNITY PUNISHMENTS

#### **INTERMEDIATE PUNISHMENTS**

An Intermediate punishment requires a sentence of supervised probation with at least one of the following conditions:

- 1. **Split Sentence/Special Probation:** Requires the offender to submit to a specified period or periods of imprisonment in a prison or jail (total imprisonment may not exceed 25% of maximum sentence) followed by a term of probation. It may include special conditions such as recommendation for work release or serving the active term in an inpatient facility.
- 2. **Residential Facility:** Requires the offender to reside in a facility for a specified continuous period of time in order to participate in activities such as substance abuse counseling, social skills training, or employment training, which are conducted at the residence or at other specified locations.
- 3. **Day Reporting Center:** Requires mandatory attendance at a facility on a daily or otherwise regular basis at specified times for a specific length of time in order to participate in activities such as substance abuse counseling, social skills training, or employment training.
- 4. **Intensive Supervision Probation:** Requires close supervision by a probation officer in order to control and assist the offender to remain in the community pursuant to a Sentencing Services plan, community work plan, community restitution plan, or other plan of rehabilitation.
- 5. House Arrest with Electronic Monitoring: Requires the offender to remain in one or more specified places for a specified period or periods each day and to wear a device which permits the supervising agency to electronically monitor the offender's compliance with the conditions.
- 6. **Drug Treatment Court:** Requires the offender to participate in clinical treatment, maintain clean drug screens, be employed, and be paying towards his/her legal obligations while under the supervision of the court for a specific length of time.

#### COMMUNITY PUNISHMENTS

A Community punishment is any authorized sentence that does not include an Active punishment or an Intermediate punishment. Community punishments can include, but are not limited to, one of the following: unsupervised or supervised probation (regular supervision without a condition of intensive supervision probation), outpatient drug/alcohol treatment, community service, restitution, fines, T.A.S.C. (Treatment Accountability for Safer Communities).

## **APPENDIX F**

## COMMUNITY SERVICE AND RESTITUTION FOR FELONIES

Offense Class	Number of Convictions	% of Convictions
Α	0	0.0
B1	0	0.0
B2	0	0.0
С	3	0.1
D	8	0.3
E	108	3.0
F	183	5.1
G	422	11.8
Н	1,490	41.8
Ι	1,350	37.9
TOTAL	3,564	13.0

### Appendix F, Table 1: Community Service by Offense Class FY 2010/11 Felonies

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

### Appendix F, Table 2: Community Service by Crime Type FY 2010/11 Felonies

Crime Type	Number of Convictions	% of Convictions	
Person	306	8.6	
Property	1,416	39.7	
Non-Trafficking Drug	1,502	42.1	
Other Felony	340	9.6	
TOTAL	3,564	13.0	

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Type of Punishment	Number of Convictions	% of Convictions	
Active	112	3.1	
Intermediate	2,153	60.4	
Community	1,299	36.5	
TOTAL	3,564	13.0	

### Appendix F, Table 3: Community Service by Type of Punishment FY 2010/11 Felonies

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

FY 2010/11 Felonies					
Offense Class	Number of Convictions	% of Convictions			
Α	2	0.0			
B1	3	0.0			
B2	20	0.3			
С	84	1.1			
D	99	1.2			
Ε	328	4.1			
F	269	3.4			
G	914	11.4			
Н	4,704	58.7			
Ι	1,589	19.8			
TOTAL	8,012	29.2			

### Appendix F, Table 4: Restitution Ordered by Offense Class FY 2010/11 Felonies

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

Crime Type	Number of Convictions	% of Convictions	
Person	726	9.1	
Property	5,093	63.6	
Non-Trafficking Drug	1,756	21.9	
Other Felony	437	5.4	
TOTAL	8,012	29.2	

#### Appendix F, Table 5: Restitution Ordered by Crime Type FY 2010/11 Felonies

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### Appendix F, Table 6: Restitution Ordered by Type of Punishment FY 2010/11 Felonies

Type of Punishment	Number of Convictions	% of Convictions	
Active	1,228	15.3	
Intermediate	5,023	62.7	
Community	1,761	22.0	
TOTAL	8,012	29.2	

Source: NC Sentencing and Policy Advisory Commission, FY 2010/11 Statistical Report Data

#### Disclaimer for AOC Data

These data are from the Administrative Office of the Courts' (AOC) Automated Criminal Infraction System (ACIS). These data are a snapshot in time and are subject to change from such factors as the sealing or expungement of records, corrections made to data entry, motions, appeals, or other legal actions that may change the nature, status or outcome of a case, and other factors. Data maintained in ACIS are intended for management of caseloads, basic record-keeping, and general statistics. These data reveal nothing about evidence presented or its weight or credibility, the reasons or validity of factual or legal arguments or conclusions presented or made, or any other of the myriad circumstances relevant to the results of any particular case. Therefore, the data should not be used or represented to reflect on the merits of the facts or the outcomes of cases. For that and many analytic purposes, it would be inappropriate and misleading to use these data as a substitute for a review of actual case files and/or transcripts. No analysis of or conclusions drawn from these data may be attributed to the AOC. Neither the analysis nor any conclusions in this report are accepted as accurate or endorsed by the AOC.

## **\*\*\*Effective for Offenses Committed on or after 12/1/95\*\*\***

	PRIOR CONVICTION LEVEL				
CLASS	Ι	II	III		
	No Prior	One to Four Prior	Five or More Prior		
	Convictions	Convictions	Convictions		
A1	<b>C/I/A</b>	<b>C/I/A</b>	<b>C/I/A</b>		
	1 - 60 days	1 - 75 days	1 - 150 days		
	C	С/І/А	С/І/А		
1	1 - 45 days	1 - 45 days	1 - 120 days		
2	C	С/ <b>I</b>	<b>C/I/A</b>		
	1 - 30 days	1 - 45 days	1 - 60 days		
3	C	С/ <b>I</b>	С/І/А		
	1 - 10 days	1 - 15 days	1 - 20 days		

### **MISDEMEANOR PUNISHMENT CHART**

A – Active Punishment I – Intermediate Punishment C – Community Punishment Cells with slash allow either disposition at the discretion of the judge

### Effective for Offenses Committed on or after 12/1/95 but before 12/1/09

PRIOR RECORD LEVEL							
	Ι	II	III	IV	V	VI	
	0 Points	1-4 Points	5-8 Points	9-14 Points	15-18 Points	19+ Points	
Α		D					
	Α	Α	Α	Α	Α	Α	DISPOSITION
					Life Without	Life Without	Aggravated Range
<b>B1</b>	240 - 300	288 - 360	336 - 420	384 - 480	Parole	Parole	
	192 - 240	230 - 288	269 - 336	307 - 384	346 - 433	384 - 480	PRESUMPTIVE RANGE
	144 – 192	173 – 230	202 - 269	230 - 307	260 - 346	288 - 384	Mitigated Range
	A	Α	Α	Α	Α	Α	
<b>B2</b>	157 - 196	189 - 237	220 - 276	251 - 313	282 - 353	313 - 392	
	125 - 157	151 - 189	176 - 220	201 - 251	225 - 282	251 - 313	
	94 - 125	114 - 151	132 - 176	151 - 201	169 - 225	188 - 251	
	A	Α	Α	Α	Α	Α	
С	73 – 92	100 – 125	116 – 145	133 - 167	151 - 188	168 - 210	
	58 - 73	80 - 100	93 - 116	107 - 133	121 - 151	135 - 168	
	44 - 58	60 - 80	70 - 93	80 - 107	90 - 121	101 - 135	
	A	Α	Α	Α	Α	Α	
D	64 - 80	77 - 95	103 - 129	117 - 146	133 - 167	146 - 183	
	51 - 64	61 - 77	82 - 103	94 - 117	107 - 133	117 - 146	
	38 - 51	46 - 61	61 - 82	71 - 94	80 - 107	88 - 117	
	I/A	I/A	Α	Α	Α	Α	
Е	25 - 31	29 - 36	34 - 42	46 - 58	53 - 66	59 - 74	
	20 - 25	23 - 29	27 - 34	37 - 46	42 - 53	47 - 59	
	15 - 20	17 - 23	20 - 27	28 - 37	32 - 42	35 - 47	
	I/A	I/A	I/A	Α	Α	Α	
F	16 - 20	19 - 24	21 - 26	25 - 31	34 - 42	39 - 49	
	13 - 16	15 - 19	17 - 21	20 - 25	27 - 34	31 - 39	
	10 - 13	11 - 15	13 - 17	15 - 20	20 - 27	23 - 31	
	I/A	I/A	I/A	I/A	Α	Α	
G	13 - 16	15 - 19	16 - 20	20 - 25	21 - 26	29 - 36	
Ŭ	10 - 13	12 - 15	13 - 16	16 - 20	17 - 21	23 - 29	
	8 - 10	9 - 12	10 - 13	12 - 16	13 - 17	17 - 23	
	C/I/A	I/A	I/A	I/A	I/A	Α	
Н	6 - 8	8 - 10	10 - 12	11 - 14	15 - 19	20 - 25	
	5 - 6	6 - 8	8 - 10	9 - 11	12 - 15	16 - 20	
	4 - 5	4 - 6	6 - 8	7 - 9	9 - 12	12 - 16	
	C	C/I	Ι	I/A	I/A	I/A	
Ι	6 - 8	6 - 8	6 - 8	8 - 10	9 - 11	10 - 12	
L	4 - 6	4 - 6	5 - 6	6 - 8	7 - 9	8 - 10	
	3 - 4	3 - 4	4 - 5	4 - 6	5 - 7	6 - 8	

#### FELONY PUNISHMENT CHART PRIOR RECORD LEVEL

A – Active Punishment I – Intermediate Punishment C – Community Punishment Numbers shown are in months and represent the range of <u>minimum</u> sentences

Revised: 08-04-95

**OFFENSE CLASS** 

## \*\*\* Effective for Offenses Committed on or after 12/1/09 \*\*\*

FELONY PUNISHMENT CHART
PRIOR RECORD LEVEL

	I 0-1 Pt	II 2-5 Pts	III 6-9 Pts	IV 10-13 Pts	V 14-17 Pts	VI 18+ Pts	
Α	Death or Life Without Parole						
	Α	Α	Α	Α	Α	Α	DISPOSITION
B1	240 - 300	276 - 345	317 -397	365 - 456	Life Without Parole	Life Without Parole	Aggravated Range
	192 - 240	221 - 276	254 - 317	292 - 365	336 - 420	386 - 483	PRESUMPTIVE RANGE
	144 - 192	166 - 221	190 - 254	219 - 292	252 - 336	290 - 386	Mitigated Range
	A	Α	Α	Α	Α	Α	
B2	157 - 196	180 - 225	207 - 258	238 - 297	273 - 342	314 - 393	
	125 - 157	144 - 180	165 - 207	190 - 238	219 - 273	251 - 314	
	94 - 125	108 - 144	124 - 165	143 - 190	164 - 219	189 - 251	
	Α	Α	Α	Α	Α	Α	
С	73 – 92	83 - 104	96 - 120	110 - 138	127 - 159	146 - 182	
C	58 - 73	67 - 83	77 - 96	88 - 110	101 - 127	117 - 146	
	44 - 58	50 - 67	58 - 77	66 - 88	76 - 101	87 - 117	
	A	Α	Α	Α	Α	Α	
D	64 - 80	73 - 92	84 - 105	97 - 121	111 - 139	128 - 160	
D	51 - 64	59 - 73	67 - 84	<b>78 - 97</b>	89 - 111	103 - 128	
	38 - 51	44 - 59	51 - 67	58 - 78	67 - 89	77 - 103	
	I/A	I/A	Α	Α	Α	Α	
Е	25 - 31	29 - 36	33 - 41	38 - 48	44 - 55	50 - 63	
Ľ	20 - 25	23 - 29	26 - 33	30 - 38	35 - 44	40 - 50	
	15 - 20	17 - 23	20 - 26	23 - 30	26 - 35	30 - 40	
	I/A	I/A	I/A	Α	Α	Α	
F	16 - 20	19 - 23	21 - 27	25 - 31	28 - 36	33 - 41	
<b>T</b> .	13 - 16	15 - 19	17 - 21	20 - 25	23 - 28	26 - 33	
	10 - 13	11 - 15	13 - 17	15 - 20	17 - 23	20 - 26	
	I/A	I/A	I/A	I/A	Α	Α	
G	13 - 16	14 - 18	17 - 21	19 - 24	22 - 27	25 - 31	
U	10 - 13	12 - 14	13 - 17	15 - 19	17 - 22	20 - 25	
	8 - 10	9 - 12	10 - 13	11 - 15	13 - 17	15 - 20	
	C/I/A	I/A	I/A	I/A	I/A	Α	
Н	6 - 8	8 - 10	10 - 12	11 - 14	15 - 19	20 - 25	
	5 - 6	6 - 8	8 - 10	9 – 11	12 - 15	16 - 20	
	4 - 5	4 - 6	6 - 8	7 – 9	9 - 12	12 - 16	
	C	C/I	Ι	I/A	I/A	I/A	
т	6 - 8	6 - 8	6 - 8	8 – 10	9 - 11	10 - 12	
Ι	4 - 6	4 - 6	5 - 6	6 - 8	7 - 9	8 - 10	
	3 - 4	3 - 4	4 - 5	4 - 6	5 - 7	6 - 8	
	<u> </u>						I

A – Active Punishment I – Intermediate Punishment C – Community Punishment Numbers shown are in months and represent the range of <u>minimum</u> sentences

Revised: 08-31-09

**OFFENSE CLASS**